

UNITED STATES
DEPARTMENT OF
COMMERCE
PUBLICATION



NBS TECHNICAL NOTE 616

Frequency Standards and Clocks: A Tutorial Introduction

U. S.
DEPARTMENT
OF
COMMERCE

National

QC
100 of
100 is

5753

o. 616

972

copy 2

NATIONAL BUREAU OF STANDARDS

The National Bureau of Standards¹ was established by an act of Congress March 3, 1901. The Bureau's overall goal is to strengthen and advance the Nation's science and technology and facilitate their effective application for public benefit. To this end, the Bureau conducts research and provides: (1) a basis for the Nation's physical measurement system, (2) scientific and technological services for industry and government, (3) a technical basis for equity in trade, and (4) technical services to promote public safety. The Bureau consists of the Institute for Basic Standards, the Institute for Materials Research, the Institute for Applied Technology, the Center for Computer Sciences and Technology, and the Office for Information Programs.

THE INSTITUTE FOR BASIC STANDARDS provides the central basis within the United States of a complete and consistent system of physical measurement; coordinates that system with measurement systems of other nations; and furnishes essential services leading to accurate and uniform physical measurements throughout the Nation's scientific community, industry, and commerce. The Institute consists of a Center for Radiation Research, an Office of Measurement Services and the following divisions:

Applied Mathematics—Electricity—Heat—Mechanics—Optical Physics—Linac Radiation²—Nuclear Radiation²—Applied Radiation²—Quantum Electronics³—Electromagnetics³—Time and Frequency³—Laboratory Astrophysics³—Cryogenics³.

THE INSTITUTE FOR MATERIALS RESEARCH conducts materials research leading to improved methods of measurement, standards, and data on the properties of well-characterized materials needed by industry, commerce, educational institutions, and Government; provides advisory and research services to other Government agencies; and develops, produces, and distributes standard reference materials. The Institute consists of the Office of Standard Reference Materials and the following divisions:

Analytical Chemistry—Polymers—Metallurgy—Inorganic Materials—Reactor Radiation—Physical Chemistry.

THE INSTITUTE FOR APPLIED TECHNOLOGY provides technical services to promote the use of available technology and to facilitate technological innovation in industry and Government; cooperates with public and private organizations leading to the development of technological standards (including mandatory safety standards), codes and methods of test; and provides technical advice and services to Government agencies upon request. The Institute also monitors NBS engineering standards activities and provides liaison between NBS and national and international engineering standards bodies. The Institute consists of the following divisions and offices:

Engineering Standards Services—Weights and Measures—Invention and Innovation—Product Evaluation Technology—Building Research—Electronic Technology—Technical Analysis—Measurement Engineering—Office of Fire Programs.

THE CENTER FOR COMPUTER SCIENCES AND TECHNOLOGY conducts research and provides technical services designed to aid Government agencies in improving cost effectiveness in the conduct of their programs through the selection, acquisition, and effective utilization of automatic data processing equipment; and serves as the principal focus within the executive branch for the development of Federal standards for automatic data processing equipment, techniques, and computer languages. The Center consists of the following offices and divisions:

Information Processing Standards—Computer Information—Computer Services—Systems Development—Information Processing Technology.

THE OFFICE FOR INFORMATION PROGRAMS promotes optimum dissemination and accessibility of scientific information generated within NBS and other agencies of the Federal Government; promotes the development of the National Standard Reference Data System and a system of information analysis centers dealing with the broader aspects of the National Measurement System; provides appropriate services to ensure that the NBS staff has optimum accessibility to the scientific information of the world, and directs the public information activities of the Bureau. The Office consists of the following organizational units:

Office of Standard Reference Data—Office of Technical Information and Publications—Library—Office of International Relations.

¹ Headquarters and Laboratories at Gaithersburg, Maryland, unless otherwise noted; mailing address Washington, D.C. 20234.

² Part of the Center for Radiation Research.

³ Located at Boulder, Colorado 80302.

JUN 8 1972

not acc.
 Q200
 5753
 10.616
 1972
 0192

UNITED STATES DEPARTMENT OF COMMERCE

Peter G. Peterson, Secretary

U.S. NATIONAL BUREAU OF STANDARDS • Lewis M. Branscomb, Director



⁷ TECHNICAL NOTE 616

ISSUED APRIL 1972

Nat. Bur. Stand. (U.S.), Tech. Note 616, 69 pages (April 1972)

CODEN: NBTNA

**Frequency Standards and Clocks:
 A Tutorial Introduction**

Helmut Hellwig

Time and Frequency Division
 Institute for Basic Standards
 National Bureau of Standards
 Boulder, Colorado 80302



NBS Technical Notes are designed to supplement the Bureau's regular publications program. They provide a means for making available scientific data that are of transient or limited interest. Technical Notes may be listed or referred to in the open literature.

This paper is provided as partial fulfillment of Contract E111M-6 to Richards-Gebaur AFB, Missouri 64030.



CONTENTS

	Page
1. INTRODUCTION	1
2. BASIC CONCEPTS	6
2.1 Accuracy, Reproducibility, Stability	6
2.2 Time Accuracy	10
2.3 Clocks	12
3. FREQUENCY STANDARDS, GENERAL ASPECTS	12
3.1 Resonators	12
3.2 Primary and Secondary Standards	18
4. QUARTZ CRYSTAL DEVICES	18
4.1 Quartz Crystal Resonator	18
4.2 Effects on the Crystal Resonator Frequency	24
4.3 Quartz Crystal Oscillator Performance	29
5. ATOMIC RESONANCE DEVICES	32
5.1 Atomic Resonators	32
5.2 State Selection	33
5.3 Interrogation of the Atoms	35
5.4 Signal Detection	37
5.5 Atomic Frequency Standard	40
5.6 Effects on the Atomic Resonator Frequency	43
6. AVAILABLE ATOMIC FREQUENCY STANDARDS	46
6.1 Cesium Beam Frequency Standard	46
6.2 Rubidium Gas Cell Frequency Standard	50
6.3 Atomic Hydrogen Maser	53
7. SUMMARY AND COMPARISON OF AVAILABLE FREQUENCY STANDARDS	57
8. TRENDS	61
9. LITERATURE	63

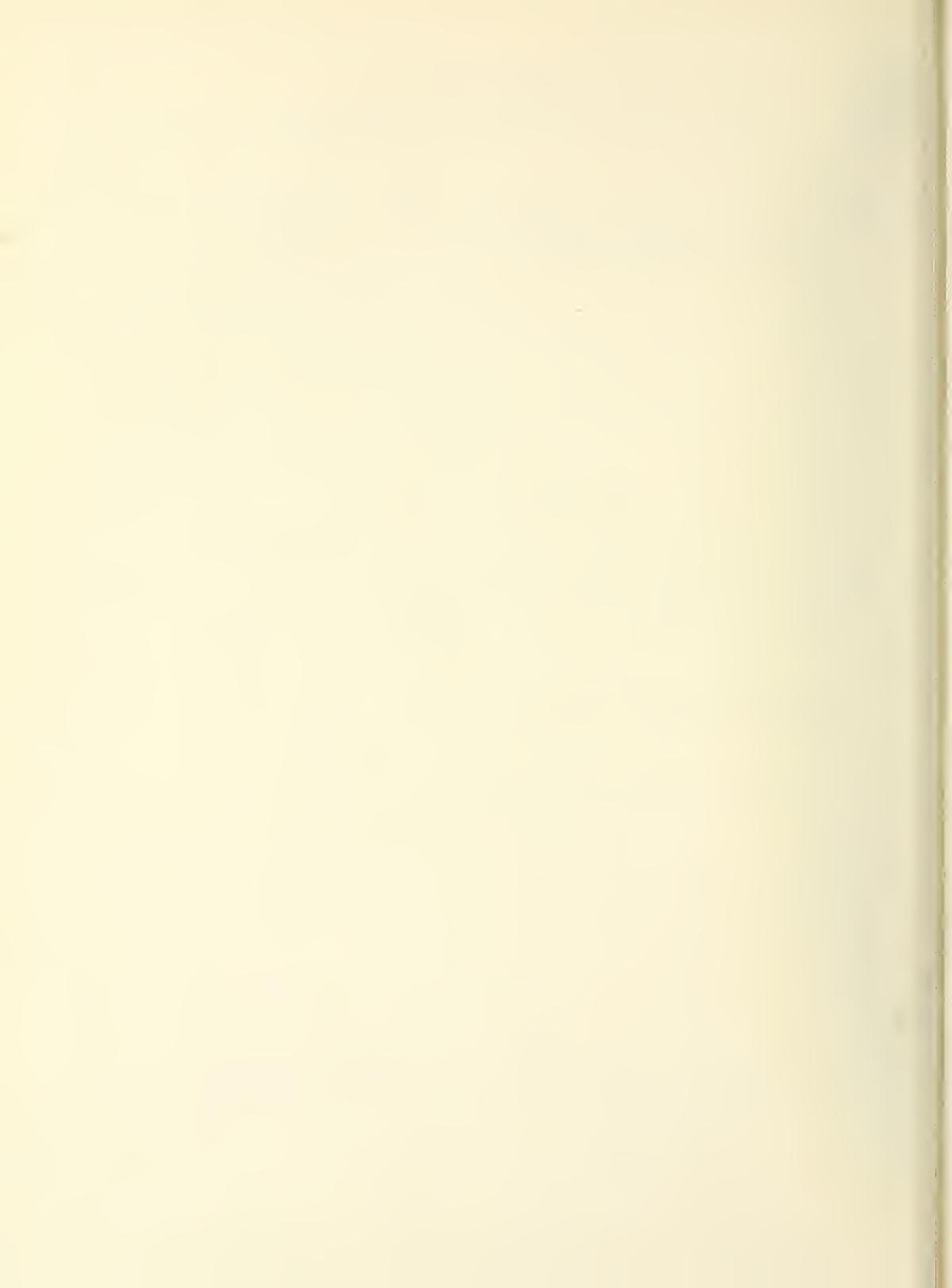
LIST OF FIGURES

	<u>Page</u>
Fig. 1. Definition of time and frequency.	3
Fig. 2. Frequency standard and clock.	5
Fig. 3. Relationships between clock accuracy, frequency stability, and frequency offset. Example (dashed line): for $\Delta t = 10$ ns, resynchronization every 1 hour is needed, if the frequency stability for 1 hour sampling time is 5×10^{-12} . The frequency offset is assumed to be less than 5×10^{-12} .	11
Fig. 4. Example of a clock system (frequency values arbitrarily chosen).	13
Fig. 5. Examples of resonators.	14
Fig. 6. Decay time, linewidth, and Q-value of a resonator.	16
Fig. 7. Hierarchy of frequency standards.	19
Fig. 8. The piezoelectric effect.	21
Fig. 9. Principal vibrational modes of quartz crystals.	22
Fig. 10. Typical quartz crystal mount.	23
Fig. 11. Quartz crystal oscillator. The block diagram shown is not necessarily the one giving optimum performance of the crystal oscillator.	25
Fig. 12. Fundamental and overtone resonance frequencies; example: violin string. (In acoustics, the numbering of the overtones is done differently: their first overtone would be our second, their second our third, etc.)	26
Fig. 13. Frequency stability of the better quartz crystal oscillators.	30
Fig. 14. Spatial state selection.	34
Fig. 15. Optical state selection.	36
Fig. 16. Atom detection.	38
Fig. 17. Optical detection.	39
Fig. 18. Microwave detection.	41
Fig. 19. Atomic frequency standard.	42
Fig. 20. Cesium beam frequency standard.	47
Fig. 21. Frequency stability of commercial cesium beam frequency standards (dashed = performance of improved models in 1971).	48

Fig. 22.	Rubidium gas cell frequency standard.	51
Fig. 23.	Frequency stability of commercial rubidium gas cell frequency standards.	52
Fig. 24.	Hydrogen maser oscillator.	55
Fig. 25.	Frequency stability of a hydrogen maser oscillator with automatic cavity tuning.	56

LIST OF TABLES

1.	Summary and Comparison of Available Frequency Standards	57-60
2.	Additional Promising Items Under Investigation	62



FREQUENCY STANDARDS AND CLOCKS: A TUTORIAL INTRODUCTION¹

Helmut Hellwig

Atomic Frequency and Time Standards Section
National Bureau of Standards
Boulder, Colorado 80302 USA

The topic of frequency standards and clocks is treated in a tutorial and non-mathematical way. The concepts of time, frequency, frequency stability, and accuracy are introduced. The general physical principles and design features of frequency standards and clocks are described. The design, performance, and limitations of quartz crystal oscillators and atomic devices (cesium, hydrogen, rubidium) are discussed in detail and critically compared for laboratory devices as well as for devices intended for field usage.

Key Words: Cesium beam; Clocks (atomic); Crystal oscillator; Frequency accuracy; Frequency stability; Frequency standards; Hydrogen maser; Quartz crystal; Rubidium gas cell; Timekeeping.

1. INTRODUCTION

Frequency standards and clocks: what do they have in common? A more complete answer will be given later. We note for the moment that most clocks and in particular the very accurate and precise ones are based on frequency standards. The reason for this is the intimate relationship between frequency (symbol ν , "nu") and time (symbol t). If we look at a series of events which are occurring in a somewhat regular fashion, e. g., the rise of the sun every morning, we can state how many of these events occur in a given time period: this number would be the frequency of this series of events. In our example we could say that the frequency of sunrises is $\nu = 7$ events per week

¹ This paper was originally prepared for inclusion in a handbook on time and frequency for the Air Force.

or $\nu = 365$ events per year. "Events per week" or "events per year" would be called the unit which we used for our frequency number; this frequency number is different for different units. In our example we assumed that we know somehow what a week or a year is, i. e., we relied on some external definition for our unit of time.

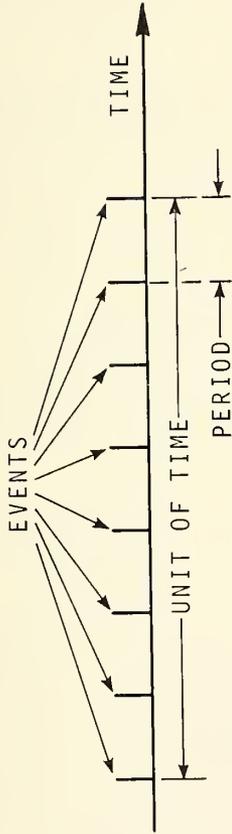
We can now ask, what is the time between the events? The answer for our example is simple, one sunrise succeeds the other after $t = \frac{1}{7}$ week or $t = \frac{1}{365}$ year where we used "week" and "year" as two possible choices for our unit of time. We can rely on the regularity and precision of the occurrence of the events, in other words, on their precise periodicity. Hence, we could define: the unit of time is one week; one week is the time elapsed at the seventh sunrise following an initial sunrise.

We learned two things: (a) For periodic events, the time between the events t is related to the frequency ν of them occurring in the following simple way

$$\nu = \frac{1}{t} \tag{1}$$

and (b) that periodic events can be used to define **time**, i. e., the generator of the periodic events - the frequency standard - can be used for a clock. The frequency standard becomes a clock by the addition of a counting mechanism for the events.

In our example above, the frequency standard is the rotating earth. The time between recurring events is one day. This frequency standard served mankind for thousands of years and remained until very recently the source for the definition of time. The counting mechanism which made it a clock was the recording of years and days.



FREQUENCY = NUMBER OF EVENTS PER UNIT OF TIME

$$\text{ACCUMULATED CLOCK TIME} = \frac{\text{TOTAL NUMBER OF EVENTS}}{\text{NUMBER OF EVENTS PER UNIT OF TIME}}$$

POSSIBLE DEFINITION:

UNIT OF TIME = A SPECIFIC NUMBER OF PERIODS OF
A WELL-DEFINED EVENT GENERATOR

Fig. 1. Definition of time and frequency.

The needs to interpolate time from day to day, to get along for many days without celestial observations, and to more precisely measure time-intervals which are very much shorter than a day brought about the invention of clocks. Although there are other types of clocks like the sand-clock or the decay in radiation intensity of a radioactive substance, we shall confine ourselves to the discussion of clocks based on frequency standards.

The first clocks based on a frequency standard (a pendulum) were invented about 400 years ago. This type of clock is still most widely used today. The pendulum may be a suspended weight (gravitational pendulum) like in "grandfather" clocks or the balance (torsion pendulum) of modern wristwatches. The objects of our discussion in this report are today's most advanced frequency standards and clocks; however, a close look at our traditional clocks will show all the essential features which we will recognize again in our later discussion of quartz crystal and atomic clocks.

The pendulum in our clock is the frequency determining element. In order to arrive at a frequency standard the pendulum has to be set in motion and kept in motion: A source of energy is necessary together with means to transfer this energy to the frequency determining element. In a wristwatch this source of energy is typically the winding spring, and the energy is transferred by mechanical means which are controlled by the pendulum itself (feedback) in order to cause energy transfer in the proper amount at the proper time in synchronism with the movement of the pendulum. We now have a frequency standard; the tick frequency of its pendulum could be picked up acoustically, for example, and used as a standard frequency. This is actually being done commercially when adjusting the rate of a clock: the tick-frequency is compared to some (better) standard frequency. In order to arrive at a clock, a read-out mechanism is necessary which counts and accumulates the ticks (more

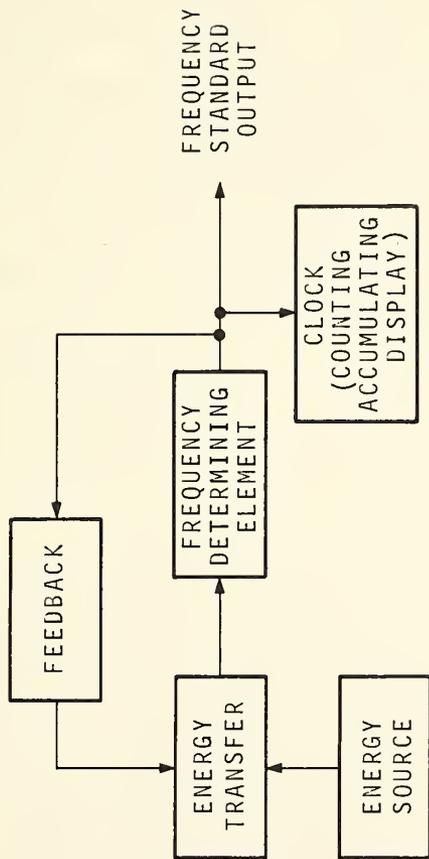


Fig. 2. Frequency standard and clock.

accurately: the time between the ticks) and displays the result. In our example of a wristwatch, this is accomplished by a suitably dimensioned set of gears and the moving hands on the clockface.

The unit of time today is the second (symbol s). Very much in analogy to our sunrise example, the second is defined in reference to a frequency determining element. Since 1967 by international agreement this "natural pendulum" is the cesium atom. One second is defined in the official wording as "the duration of 9 192 631 770 periods of the radiation corresponding to the transition between the two hyper-fine levels of the ground state of the cesium-133 atom". Accordingly, the frequency of the cesium pendulum is 9 192 631 770 events per second (the cesium atom is a very rapidly oscillating pendulum). Following our eq (1) the unit of frequency is then defined as hertz (symbol Hz) which means the repetitive occurrence of one event per second (the use of "hertz" is preferred to the older term "cycle per second", cps).

2. BASIC CONCEPTS

2.1 Accuracy, Reproducibility, Stability

The performance of frequency standards is usually described in terms of accuracy, reproducibility, and stability. We will use these terms in the sense of the following definitions:

Accuracy: the degree of conformity of a measured and/or calculated value to some specified value or definition.

Reproducibility: the degree of agreement across a set of independent devices of the same design after adjustment of appropriate specified parameters in each device.

Stability: the frequency and/or time domain behavior² of a process. In the time domain (i. e., the sampling time is the varied quantity) a frequently used measure of stability is the Allan variance (to be explained later) or its square root.

It is obvious from these definitions that frequency accuracy will be largely of interest in scientific measurements and in the evaluation and intercomparison of the most advanced devices, but of little or no interest to the average user of frequency standards. A good reproducibility is an asset in applications where it is of importance to rely on some degree of conformity of the output frequency of several devices. The characterization of the stability of a frequency standard is usually the most important information to the user. The frequency stability (symbol σ , "sigma") of a frequency standard will depend on a variety of physical and electronic influences both internal and external to the device which cause frequency fluctuations. The frequency stability depends also on the exact measurement procedure which was used to measure the stability. We shall explain this in the following. Frequency stability can be measured by taking a reasonably large number of successive readings of an electronic counter which counts the frequency of the device to be evaluated. Each counter reading (in hertz) is obtained by sampling the counted frequency for some specified time, the sampling time (symbol τ , "tau"). This sampling time can usually be chosen by simply adjusting a knob on the counter; for example, a sampling time of 0.1s or 1s or 10s may be chosen.

² In this paper, for tutorial purposes, only the time domain stability is used. We note, however, that for many scientific applications the frequency domain stability measure is more useful.

Everyone has had the experience that fluctuations tend to average out if observed long enough; however, this is not always so. Sigma will therefore usually depend on the sampling time of the measurement and tends to get smaller with longer sampling times; again, there are many exceptions to this.

It may be that the fluctuations at some later time are partially caused by, or depend to some degree on, the previous fluctuations. In this case, the actual value of σ will also depend on the particular way in which the many counter readings are averaged and evaluated. Also, it will be of influence whether the counter starts counting again immediately after completion of the preceding count or if some time elapses ("dead-time") before counting commences again.

Finally, electronic circuits will have a finite response time, e. g., they cannot follow fluctuations faster than some given rate. For example, our eye can not register light fluctuations which occur faster than about every $\frac{1}{10}$ of a second; using eq (1), we say that the eye has a frequency response of 10 Hz, or that its bandwidth is only 10 Hz, i. e. the eye can not follow frequencies higher than 10 Hz. In order to measure frequency stabilities for sampling times larger than some value τ , we have to provide for an electronic frequency bandwidth which is larger than $\frac{1}{\tau}$.

We summarize: a recommended way of properly measuring and describing frequency stability is the following: (a) make sure that the frequency bandwidth of the total measuring set-up is larger than $\frac{1}{\tau_{\min}}$ where τ_{\min} is the smallest desired sampling time; (b) use a counter with a dead-time as small as possible³; (c) take a sufficiently large number of readings at a given sampling time which is held constant and compute

³ The dead-time should be less than the reciprocal bandwidth; if not, computation procedures exist to account for larger dead-times.

$$\sigma = \sqrt{\frac{\text{addition of the squares of the differences between successive readings}}{2 \times \text{total number of differences used}}}$$

(in the scientific literature this σ is called the square root of the two-sample Allan variance); (d) repeat (c) at other sampling times τ and tabulate or plot σ as it depends on τ .

Commonly, σ will be given as a fractional value, i. e., the value obtained for the frequency stability is divided by the carrier frequency. For example, if a frequency stability of $\sigma_{\delta\nu} = 10$ Hz were measured at a carrier frequency of $\nu = 5$ MHz (MHz = megahertz = million Hz) then the fractional frequency stability would be

$$\sigma_{\delta\nu/\nu} = 2 \times 10^{-6}.$$

We denote the kind of σ by a subscript, $\delta\nu$ ("delta nu") referring to frequency fluctuation (measured in Hz) or $\frac{\delta\nu}{\nu}$ referring to fractional frequency fluctuations (dimensionless). A stability of one part in a million is thus

$$\sigma_{\delta\nu/\nu} = 1 \times 10^{-6},$$

and one part in a trillion is written as

$$\sigma_{\delta\nu/\nu} = 1 \times 10^{-12}.$$

The common usage of the fractional frequency stability $\sigma_{\delta\nu/\nu}$ instead of the frequency stability $\sigma_{\delta\nu}$ (given in Hz) has its good reasons. In almost all applications of frequency standards their nominal output frequency will be multiplied or divided, i. e., the standard frequency will be used to synthesize other frequencies. Also, frequency standards themselves will already synthesize several output frequencies, and different frequency standards will offer different output frequencies. In such a usage of frequency standards as well as in

4

The counter readings can be taken in Hz; σ will then have the dimension of Hz.

the comparison of their performances it would be extremely inconvenient to state $\sigma_{\delta\nu}$ because this number (in Hz) will change with any alteration in frequency due to synthesis. This will not be so with $\sigma_{\delta\nu/\nu}$ because $\delta\nu$ as well as ν will change in the same way in synthesizing procedures. Of course, we assume here that synthesis does not cause additional instabilities, an assumption which is mostly valid with today's electronic capabilities.

2.2 Time Accuracy

In clocks, time accuracy is of importance. In accordance with the definition for accuracy at the beginning of this section, the accuracy of a clock is the conformity of its reading (the date shown) with the reading of a standard time scale (a standard or reference date). A clock can be initially set (synchronized) with respect to a reference clock with some accuracy Δt_0 . Its reading will then gradually deviate by an amount Δt ("delta t") from the reading of the reference clock. This deviation, i.e., the accuracy of the clock, depends on the stability performance σ of the frequency standard in the clock and on the fractional frequency offset $\frac{\Delta\nu}{\nu}$ of the clock⁵ with respect to the reference clock via

$$\Delta t = \left(t \times \frac{\Delta\nu}{\nu} \right) \pm t \times \sigma_{\delta\nu/\nu}(\tau = t) + \Delta t_0, \quad (2)$$

where $\sigma_{\delta\nu/\nu}(\tau = t)$ means the fractional frequency stability evaluated for a sampling time τ equal to the time t which elapsed after the last setting of the clock. For example, a clock with a fractional frequency stability of $\sigma_{\delta\nu/\nu} = 10^{-11}$ for sampling times of 10^5 seconds (about 1 day) would be accurate to $\Delta t = \pm 10^5 \times 10^{-11} = \pm 1$ microsecond per day if $\Delta\nu$ is assumed to be zero. The same clock with a fractional frequency offset of $\Delta\nu/\nu = 10^{-9}$ would be accurate to $\Delta t = (100 \pm 1)$ microseconds after 1 day.

⁵ The frequency offset $\Delta\nu$ is the frequency difference, measured in hertz, between the frequency standard (clock) and the reference.

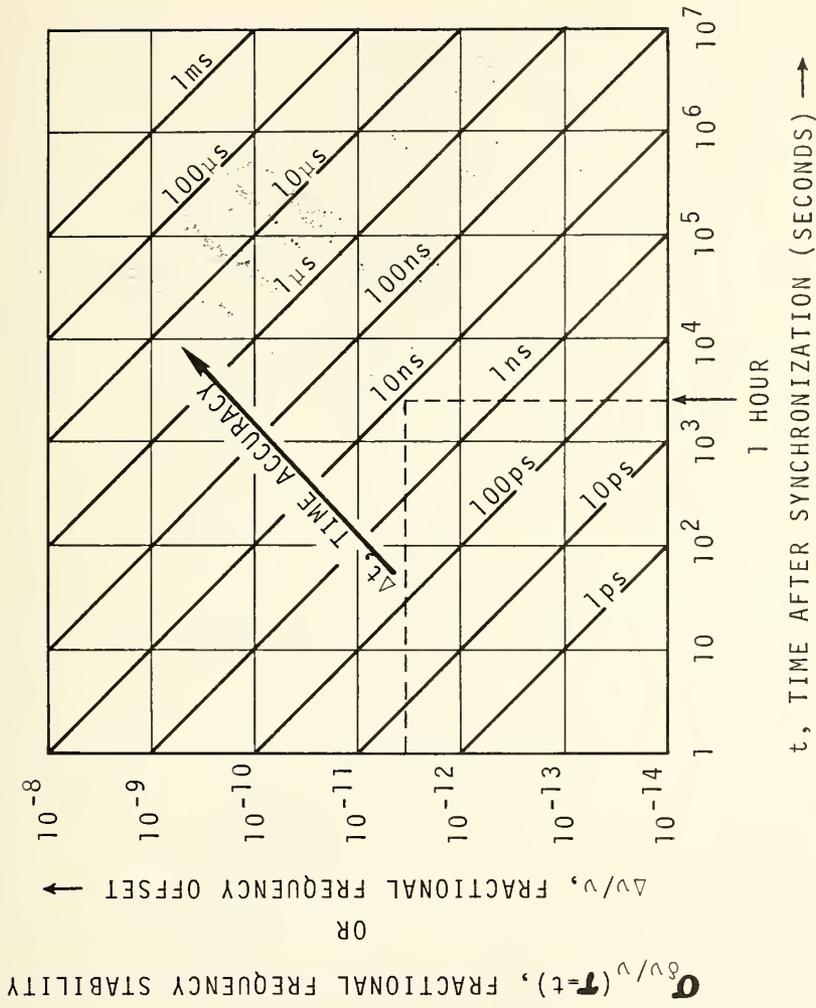


Fig. 3. Relationships between clock accuracy, frequency stability, and frequency offset.

2.3 Clocks

In the Introduction we discussed that the addition to a frequency standard of a mechanism, which counts and accumulates and possibly displays the result, creates a clock.

This task can be performed by a frequency divider which, for example, derives a frequency of 60 Hz directly from a 5 MHz crystal oscillator. The 60 Hz voltage can be used to drive an electric clock similar to those driven by the 60 Hz power line frequency which we use at home or at work. Or, an additional electric pulse generator may be used which generates one very sharp electrical pulse per second. The time interval of 1 second between the pulses (corresponding to a frequency of 1 Hz) is directly derived from the output of our frequency standard. The pulses can be directly used in time comparisons with those of other, similar clocks; or a counter/accumulator can be driven by them.

3. FREQUENCY STANDARDS, GENERAL ASPECTS

3.1 Resonators

The performance of a frequency standard is to a considerable degree, but not exclusively, given by its frequency determining element. It determines the frequency by its resonance behavior. Some examples for resonance phenomena are (a) a rod, clamped only at one end, which can vibrate, (b) a block of solid material which can contract and expand and thus vibrate, (c) a capacitor-coil combination (tank circuit) in which the electric energy can oscillate back and forth between these two elements, (d) an antenna (a dipole) where the distribution of electric charges can oscillate back and forth, and (e) a coil in which an electric current can create a magnetic field which can oscillate between its two possible polarities (a magnetic dipole). All these devices have in common that they can vibrate or oscillate if they are excited. The method of excitation may be a mechanical pulse for (a) and (b) or an electrical pulse for (c) or a sudden surge of an electric field for (d) or of a magnetic field for (e). The devices exhibit a resonance, i. e.,

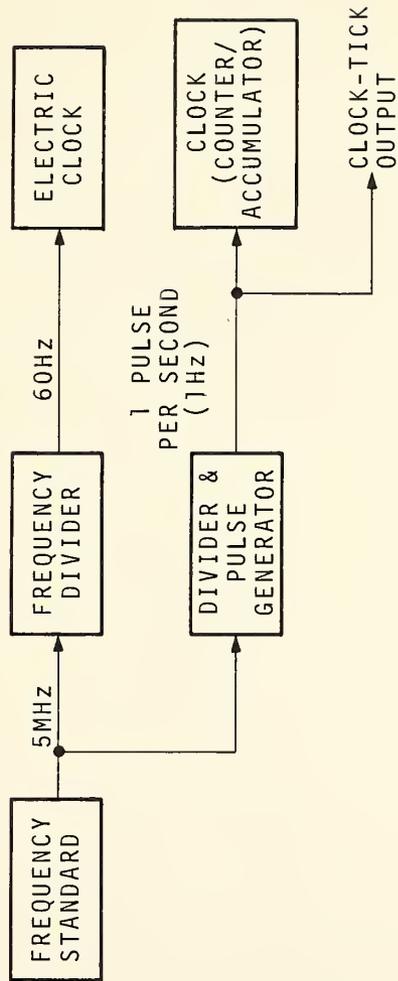


Fig. 4. Example of a clock system.

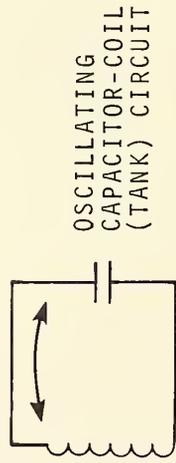
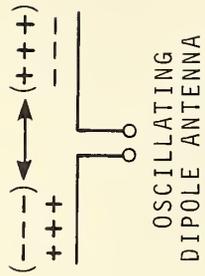
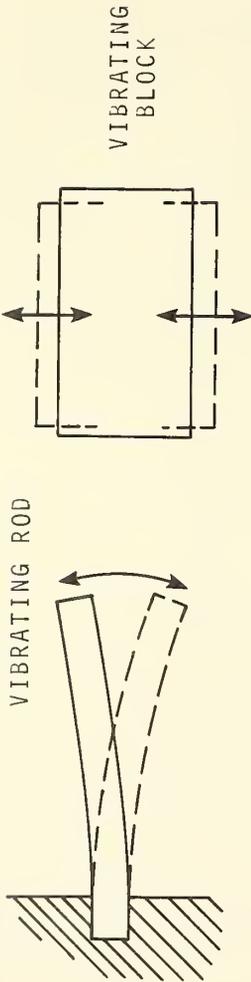


Fig. 5. Examples of resonators.

they are resonators with a well-defined frequency which is characteristic of the physical dimensions of the device: the length of the rod, the thickness of the block, the size of the capacitor and coil, the length of the antennas. Once excited, the oscillations will die out gradually with a decay time which is determined by the losses of the resonator. Some of these losses are internal friction as in our cases (a) and (b), and electrical resistance for (c) through (e); in any case, the oscillation energy is ultimately transformed into heat. If there were no losses, the oscillations would never stop; we would have an ideal resonator. The more losses, the faster the oscillations will decay and the resonance will be less pronounced. It is now obvious that we could use the decay time of the oscillations (symbol T) to describe the quality of our resonator. The larger T, the better the resonator.

An alternate way of measuring the resonance behavior is to use an external oscillator, to couple it to the resonator, and to sweep slowly the frequency of the external oscillator across the resonance. We will find again a resonance frequency at which the resonator will oscillate most pronounced, i. e., with the greatest intensity. On both sides of the resonance frequency, the response of the resonator will lessen until it ceases to respond. We can define a frequency interval around resonance in which the resonator response is relatively strong; we call it the resonance linewidth (symbol W). There is a simple relationship⁶ between W and T

$$W = \frac{1}{T}. \quad (3)$$

Again, as in the case of σ , it is of advantage to state the linewidth in a fractional way. The fractional linewidth would be $\frac{W}{\nu}$ where the symbol ν is used for the resonance frequency. More widely used is the quality factor of the resonance (symbol Q) which is defined as the

⁶ Equation (3) is only an approximation. Depending on the particular shape of the resonance curve we would have to insert a factor into this equation; however, this factor is never far from unity.

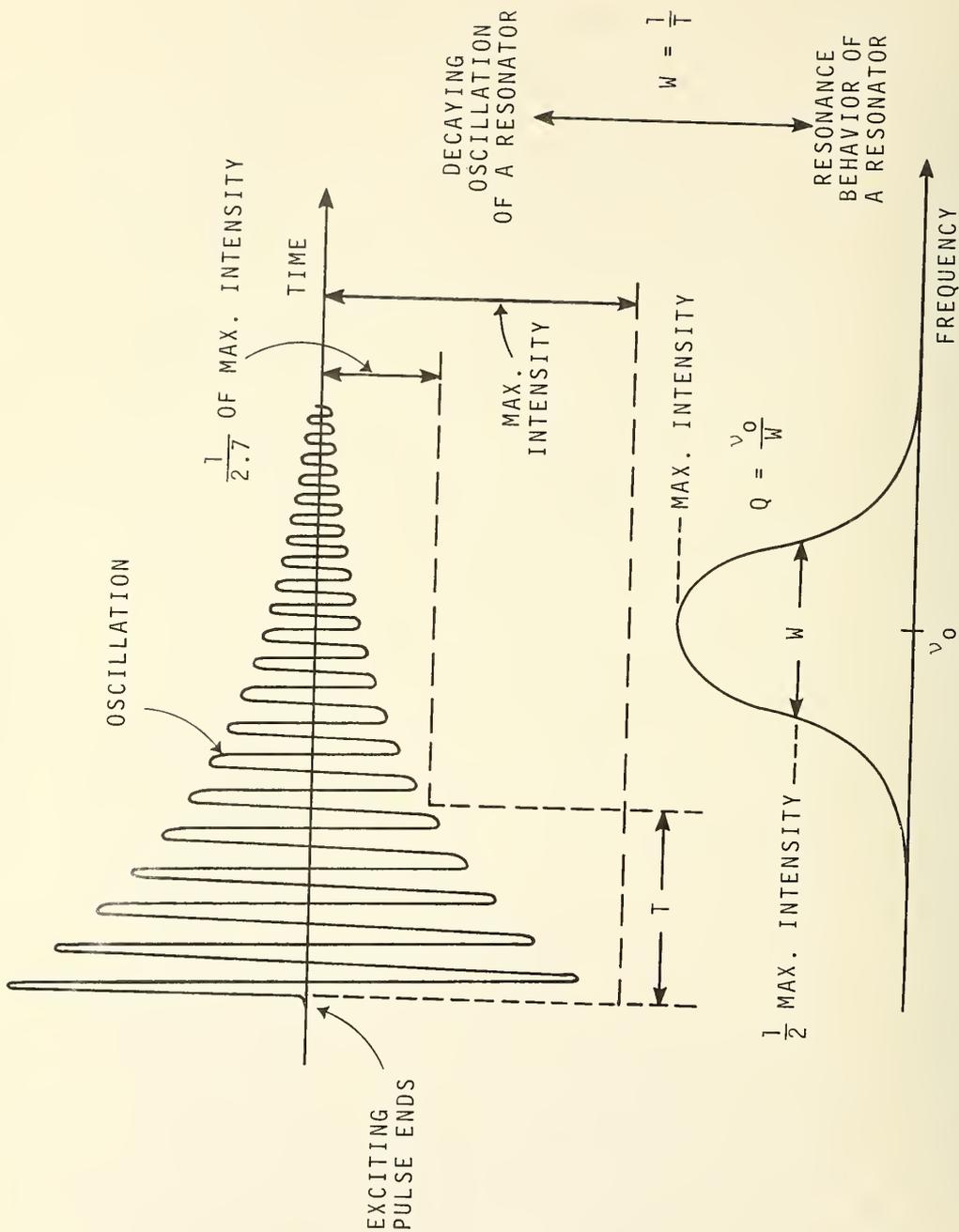


Fig. 6. Decay time, linewidth, and Q-value of a resonator.

reciprocal fractional linewidth

$$Q \equiv \frac{\nu}{W} . \quad (4)$$

In frequency standards we obviously like to have large values for the Q of the frequency determining element. As an example, if $Q = 10^6$, then a fractional accuracy of 10^{-10} would imply that we can determine the center of our resonance curve to a small fraction (10^{-4} or one hundredth of a percent) of its width. In the same example, a stability of 10^{-12} for some sampling time would correspond to an ability to keep the frequency to within 10^{-6} (one millionth) of the resonance linewidth around a given value. It is clear, therefore, that the frequency stability and accuracy of a frequency standard may be expected to become the better the higher the Q -value of the frequency determining element.

Many kinds of frequency determining elements have been and are being used in frequency standards. They can be grouped into three classes:

- mechanical resonators;
- electronic resonators;
- atomic resonators.

As far as mechanical resonators are concerned we will only discuss one group in detail, the quartz crystals. Other mechanical resonators like the pendulum and the tuning fork are of no importance in today's high performance frequency standards although they have been historically very important and are still widely used in low performance devices (e.g., in watches). For similar reasons we will also omit the discussion of electronic resonators like the tank circuits (our device (c) of above) and microwave cavities. Atomic resonators form the heart of our most accurate frequency standards and clocks and will, therefore, be extensively discussed.

3.2 Primary and Secondary Standards

At this point, we should briefly discuss the frequently used terms "primary frequency standard" and "secondary frequency standard". These terms should refer to the systems-use of the devices; any frequency standard, regardless of its accuracy or stability, can be a primary frequency standard, if it is used as the sole calibration reference for other frequency sources. A secondary frequency standard is a device which is occasionally calibrated against a primary frequency standard but operationally serves as the working reference for other frequency sources. The use of the terms "primary" and "secondary" to describe the performance and/or the design of a frequency standard itself is discouraged. These aspects can be described adequately and accurately by stating accuracy, reproducibility, and design features.

One class of frequency standards can be separated from the rest. We call those the evaluable frequency standards, which, by virtue of their basic physical operation as well as their design, allow the experimental evaluation of all known influences which might alter the output frequency. The accuracy of the evaluable frequency standard can thus be stated for the single device without reference to any other frequency standard. We will discuss it in more detail later in connection with cesium beam frequency standards.

4. QUARTZ CRYSTAL DEVICES

4.1 Quartz Crystal Resonator

The quartz crystal is a mechanical resonator much like our examples (a) and (b) of section 3.1. The resonator's oscillations have to be excited and sensed externally. In the case of a quartz crystal this is done by taking advantage of its piezoelectric properties. The piezoelectric effect is a special property of a certain class of crystals.

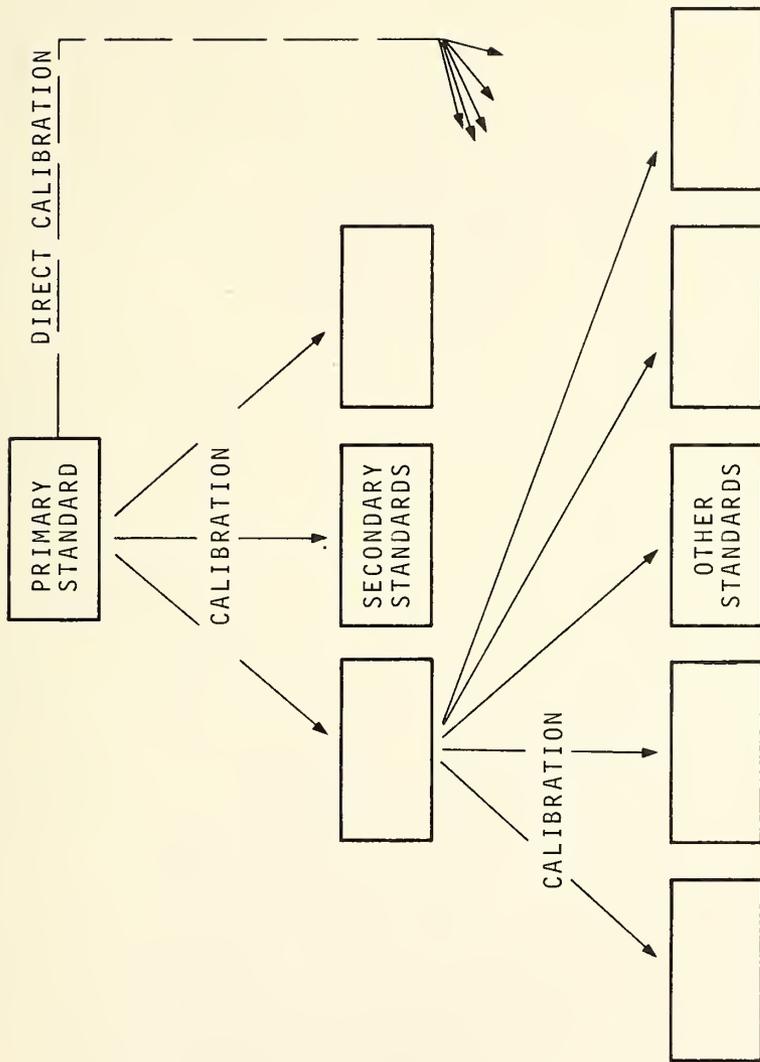


Fig. 7. Hierarchy of frequency standards.

Compression or dilatation of the crystal generates a voltage across the crystal, and conversely, the application of an external voltage across the crystal causes the crystal to expand or contract depending on the polarity of the voltage. A crystal is not a homogeneous medium but has certain preferred directions; thus, the piezoelectric effect has a directional dependence with respect to the orientation of the crystal. In order to take advantage of the piezoelectric effect one has to cut the crystal resonator from the crystal block in a well defined way with respect to the crystallographic directions. The raw material today is both natural quartz and synthetic quartz. The crystal is cut out of the raw crystal in the desired orientation with the aid of optical techniques which allow the determination of the crystallographic axes. The high precision final orientation of the cut and the tuning to the desired frequency is then done by grinding and etching under control of x-ray methods.

The quartz crystal can be cut and electrically excited in a variety of ways. The most common types of vibrations (modes) are the longitudinal and thickness modes, the flexure (bending) mode, the torsional mode, and the shear mode. In order to use the piezoelectric effect, electrodes have to be put on some of the crystal surfaces such that the desired mode is excited. The electrodes are typically created as extremely thin metallic coatings by vacuum evaporation of metals. Electric leads are attached to the electrodes, (e. g., by soldering), which usually also serve as the mounting support thus freely suspending the quartz crystal. In order to least perturb the mechanical vibrations of the crystal, the electrode-support leads are attached at points where no vibrational motion occurs (nodes). The crystal is usually encased and the enclosure is sometimes filled with a protective gas or is evacuated.

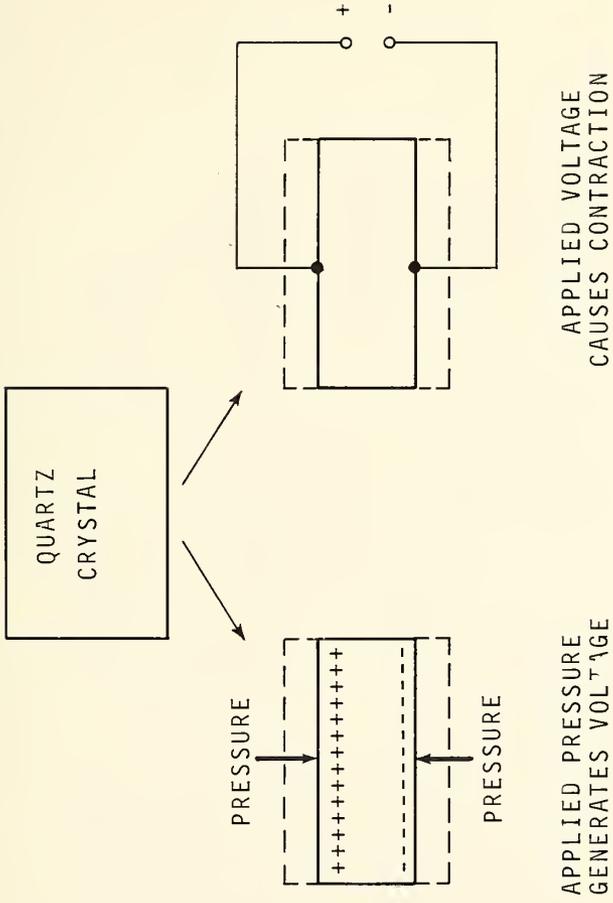


Fig. 8. The piezoelectric effect.

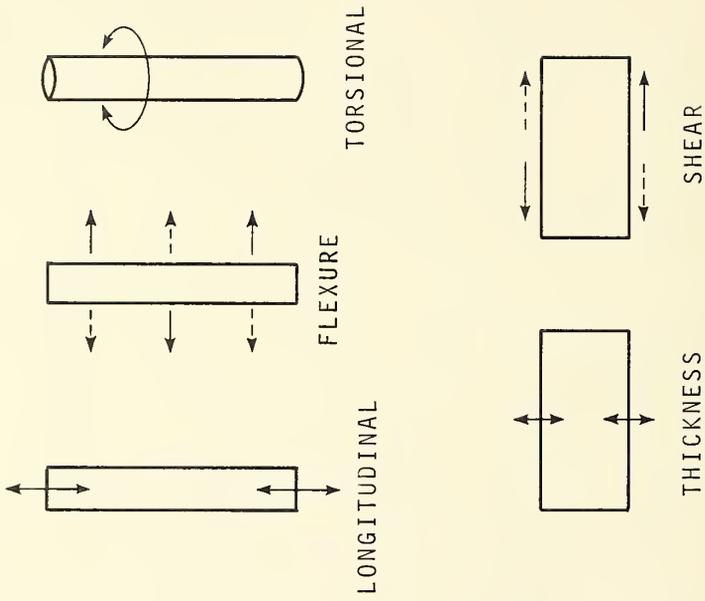


Fig. 9. Principal vibrational modes of quartz crystals.

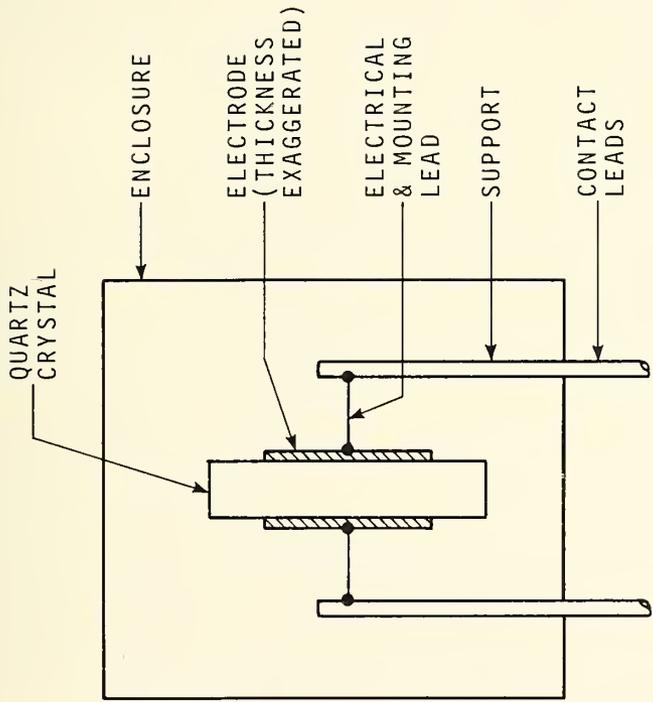


Fig. 10. Typical quartz crystal mount.

A frequency standard can now be built by adding an electronic amplifier (energy transfer), feedback, and a power supply. We call such a device a quartz crystal oscillator. Its output frequency is determined by the quartz crystal resonator whose frequency in turn is determined by the physical dimensions of the crystal together with the properties of crystalline quartz. The resonance frequency thus depends on the orientation of the cut, the particular mode, and the dimensions of the crystal. As an example, we find that the resonance frequency for a longitudinal mode of vibration is approximately given by

$$\nu_0 = 2.7 \times 10^3 \times \frac{1}{\ell}, \quad (5)$$

where ℓ is the length of the crystal. Equation (5) is written such that the use of meters to express ℓ will give the resonance frequency ν_0 in hertz. Equation (5) allows us to estimate the size of crystals. For example, a 100 kHz crystal will have a length which is of the order of a few centimeters and a 10 MHz crystal will have a length (thickness) of just a few tenths of a millimeter. We see from this that the production of quartz crystals with resonance frequencies much above 10 MHz is hardly possible. However, one can excite resonators not only in their so-called fundamental mode (which we discussed so far) but also at multiples (overtones) of this fundamental resonance frequency. The best example for this is the violin string which also can be caused to oscillate at frequencies which are multiples of the fundamental frequency; the violinist depends on this. Quartz crystals which are designed for the excitation of multiples of their fundamental resonance are called overtone crystals.

4.2 Effects on the Crystal Resonator Frequency

Two deleterious effects, among others, are important in the design of crystals and crystal oscillators and limit their usefulness. The first is the temperature dependence of the quartz crystal resonance frequency, the second is a slow change of the resonance frequency as time goes on (drift).

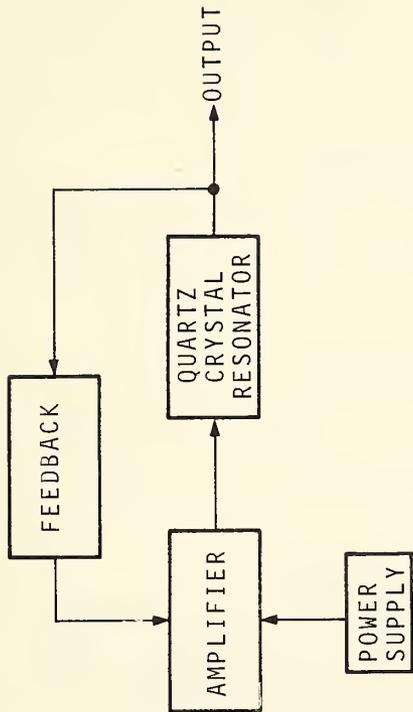


Fig. 11. Quartz crystal oscillator.

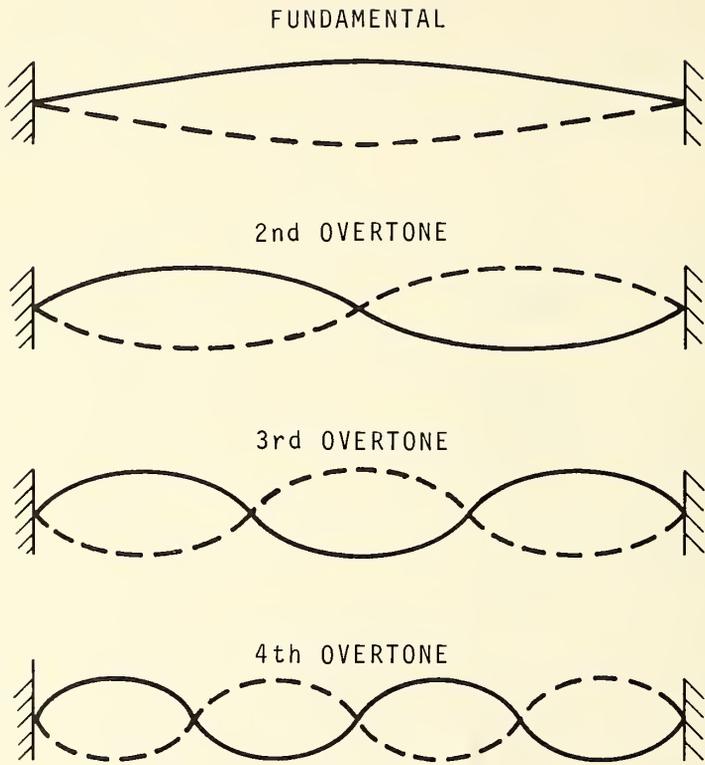


Fig. 12. Fundamental and overtone resonance frequencies.

The temperature dependence is caused by a slight change in the elastic properties of the crystal with temperature. This can easily be imagined from the general behavior of matter that the packing density of atoms increases with lowering the temperature. However, certain cuts, i. e., certain crystallographic orientations of the crystal, minimize this effect over a rather wide range of temperatures, most notably the so-called "AT" and "GT" cuts. Temperature coefficients of less than one part in 100 million per degree (celsius or kelvin) are possible, in other words, the fractional frequency change will be less than 10^{-8} with one degree of temperature change. Nevertheless, this effect demands certain precautions in the design of a crystal oscillator if very high frequency stabilities over longer times (hours or days) are desired and/or if large environmental temperature fluctuations are to be tolerated. Hence, crystals are enclosed in electronically regulated ovens which maintain a constant temperature; in certain crystal oscillators this is done to better than $\frac{1}{1000}$ of a degree.

A different solution to the temperature problem is the so-called temperature compensated crystal oscillator or TCXO. An additional frequency determining element in the oscillator, which can essentially be just a small capacitor (see our example (c) of sec. 3.1), gives the opportunity to tune the oscillator over a limited range by varying this additional element. If a temperature sensor is added which causes a change in this capacitor one can adjust the response in such a way that the change in resonance frequency of the crystal resonator is just compensated by a suitable adjustment of the added capacitor. Bimetal springs have been used which mechanically change the setting of the capacitor much in the same way as the automobile choke in many automobiles works. Today, capacitors whose value changes with an applied voltage (varactors) are used; the applied voltage is derived from a temperature sensing circuit. The TCXO thus does not necessarily

require further temperature control by an oven. However, we see the drawback of this approach. In adding a further frequency determining element, the crystal resonator has to relinquish a corresponding part of its control on the output frequency of the whole oscillator. We, therefore, realize that the stability performance of a TCXO will degrade the more, the wider the temperature range of compensation is made. The long-term stability (days) of TCXO's is therefore below that of crystals with a good oven control. We find TCXO's in small, usually portable units of relatively low performance, e.g., for applications where frequency stabilities from day to day and frequency changes over some tens of degrees of temperature of not better than 10^{-9} are needed.

The drift, or aging, is a common behavior of all crystal oscillators. It is a nearly linear (uniform) change in resonance frequency with time, which is almost always negative, i. e., the resonance frequency decreases. This decrease could be interpreted as an increase in the crystal size according to eq (5). Many physical mechanisms have been considered as the cause: contamination of the surfaces (deposition of foreign material); changes in the electrodes or the metallic plating; reformation of loose (from grinding and etching) surface material; changes in the internal crystal structure; etc.; all of this possibly caused or enhanced by the vibrating motion of the oscillating crystal. Careful fabrication and electrode design combined with clean vacuum enclosures have led over the years to a reduction of the aging to about 10^{-11} per day and better for the best crystals. This aging corresponds to 10^{-11} fractional thickness change, as we can see from eq (5). For a 5 MHz crystal with a thickness of a little less than a millimeter this aging corresponds to an absolute thickness change of only 10^{-11} of a millimeter or less than $\frac{1}{10}$ of one percent of the diameter of an atom. It seems surprising that mechanical resonators can be built which change their dimension by so little.

Two more effects on crystal resonators are to be considered. One is its relative sensitivity to gravitational forces and acceleration: frequency changes will occur because of the stresses in the crystal caused by these forces. This influence depends on the direction of the force relative to the crystallographic axes and thus can be minimized for certain orientations. The magnitude of the effect is typically of the order of 10^{-9} for accelerations corresponding to the earth's gravitation.

The other effect is related to intermittent operation. If a crystal oscillator is turned off and, after some time, put back into operation it will not oscillate immediately at the original frequency but will exhibit first a "warm-up" due to temperature stabilization of the crystal resonator and its oven and then for some time (as long as many days) it will exhibit a large but decreasing drift until it reaches its previous aging performance. The frequency at which it will then operate might also be substantially different (as compared to its stability and aging performance) from its frequency before the interruption.

4.3 Quartz Crystal Oscillator Performance

Crystal resonators have Q-values which are typically in the range from $Q = 10^5$ to almost $Q = 10^7$. These are very high Q-values as compared to most other resonators except, most notably, atomic resonators.

These high Q-values are an essential prerequisite for the excellent stability performance of crystal oscillators. The best presently available devices show stabilities of a few parts in 10^{13} for sampling times from one second to a day. There is some experimental evidence that the stability is limited not by the crystal resonator but by noise in the electronic components in the oscillator circuits. This noise (flicker noise) may possibly be reduced by a special selection of low noise components (transistors, capacitors, etc.) and by some special circuit design. Thus there is a reasonable chance that the stability may reach values of better

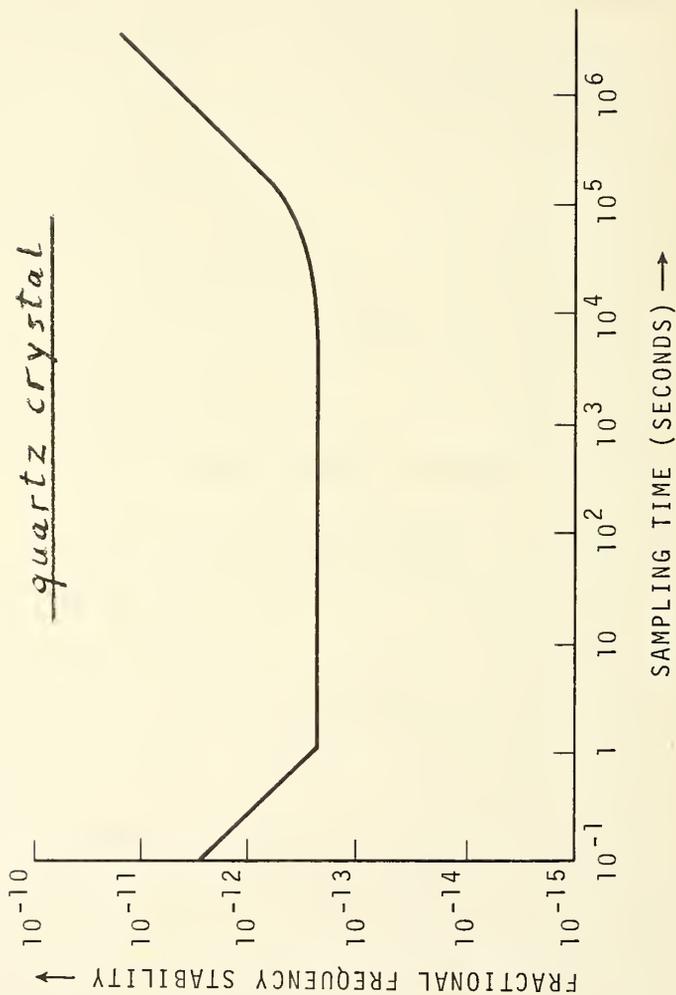


Fig. 13. Frequency stability of the better quartz crystal oscillators.

than 10^{-13} for sampling times of seconds to hours. For times of about one millisecond or less, the stability is often determined by additive noise in the output amplifiers and can then be reduced by a (crystal) filter in the output. The long-term stability beyond several hours sampling time is determined by the aging and by external influences such as line voltage variations, temperature fluctuations, etc. A specification of accuracy is not very well possible with crystal oscillators. Without any frequency calibration they possibly can be fabricated via thickness-determination (not very practical) to about 10^{-6} frequency accuracy. If they are calibrated against a high accuracy frequency standard they maintain this calibration (accuracy) according to their long-term stability performance, e.g., a crystal with the low aging of 10^{-11} per day will be accurate to a few parts in 10^8 for the duration of a year.

We realize, therefore, that crystal oscillators require calibration, which may be rather frequent depending on the requirements. The frequency adjustments are being made with a small added capacitor in much the same way as we discussed before in connection with the TCXO. The most stable ones with the lowest aging rate cost approximately \$2000, have a volume of a few thousand cubic centimeters, and require a power of about 10 watts. They have an elaborate crystal oven for temperature control, well-designed electronics, and usually several output frequencies which are derived from the oscillator frequency with the aid of frequency dividers and multipliers. These high performance devices presently use 5-MHz or 2.5-MHz crystal resonators with Q-values of a few million.

Crystal oscillators which are cheaper and/or smaller are available in an immense variety of designs at certain sacrifices in frequency stability and/or environmental insensitivity. Costs can go down to below \$100, sizes to a few cubic centimeters, and power requirements to less than 0.1 watt. The reliability of crystal oscillators is usually not limited by the crystal, the mean time between failure (MTBF) being that of any electronic circuit of equivalent sophistication.

5. ATOMIC RESONANCE DEVICES

5.1 Atomic Resonators

The basis of commercially available atomic frequency standards are resonances in atoms at microwave frequencies (range 1 to 100 GHz, GHz = gigahertz = billion hertz). Resonances in molecules and resonances at higher frequencies in the infrared and visible regions have been studied for frequency standard applications but have not yet led to practical devices; however, their potential is highly promising (see sec. 8). We will confine ourselves, therefore, to the discussion of microwave resonances in atoms. We may picture such a resonance as a little magnetic dipole antenna as was discussed in our example (e) in section 3.1. We will typically deal with a great many of these dipole antennas (atomic resonators), and we can separate them into two kinds⁷: (1) receiving antennas, which will absorb energy from a field at their resonance frequency, much like our TV antenna at home, and (2) transmitting antennas which will radiate energy at their resonance frequency in a fashion analogous to the action of the transmitting antenna of a broadcasting station. A physicist might say that the atoms which act like a receiving antenna are in the "lower state" and those acting like a

⁷ Of course, this is a simplification.

transmitting antenna are in the "upper state"⁸. In a natural ensemble of a great many atoms (a gas), we will find that the total number of upper state atoms is nearly equal to the total number of lower state atoms. This has an important consequence: if this gas is placed in an external magnetic field, which oscillates at the atomic resonance frequency, all atoms may resonate; however, nearly half of the atoms receive (absorb) energy from the field, the other half emit (add) energy of an equivalent amount to the field. It is obvious that the net effect is almost zero; the gas as a whole acts as if it has almost no resonance although the individual atoms each may resonate. From this we see that in order to observe the atomic resonance we have to change somehow the relative amounts of the two kinds of atoms; the upper or the lower state has to be in the majority. The way in which this is done determines the design of an atomic resonance device, which is the frequency determining element in our atomic frequency standard.

5.2 State Selection

We will now discuss the two most important methods to accomplish what is called state selection: the change in the relative numbers of the two kinds of atoms.

(a) Spatial state selection: This method relies on an actual sorting procedure where the two atomic states are sorted into different directions in space. One of the states can then be used, the other is discarded. As an example, an actual system may produce an atomic gas by heating the substance in an oven to a suitable temperature. The atoms leave the oven through a hole and form an atomic beam in an adjacent vacuum chamber. The atomic beam is then passed through a rather strong magnet

⁸ There is a peculiarity with atoms: an atom changes from the upper to the lower state upon emission of a well-defined amount of energy, and, correspondingly, an atom changes from the lower to the upper state after receiving an equal amount of energy at the atomic resonance frequency.

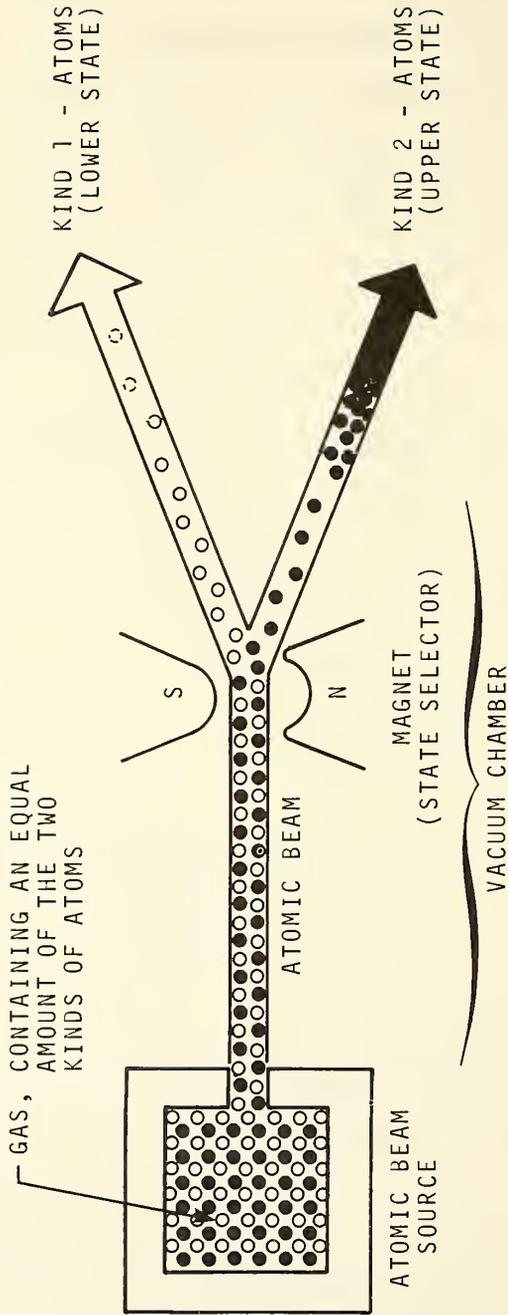


Fig. 14. Spatial state selection.

which causes the separation of the beam into two beams, each of them containing only one kind of atoms. We remember that our picture of an atom is that of a little magnetic dipole antenna. The magnet exerts a force on these magnetic dipoles, which point in opposite directions for the two different atomic states (we note this without further explanation).

(b) Optical state selection: This technique takes advantage of the fact that the atoms have more than just one resonance. Other resonances typically correspond to infrared or visible (light) frequencies. We can excite one of these resonances by shining intense light of the corresponding frequency on the atoms. If the light is filtered carefully, very monochromatic (one color, i.e., a very well-defined frequency) light is obtained. If the light frequency is chosen properly, only one kind of the atoms will resonate. At the same time, this light frequency is too far away from the corresponding light resonance of the other kind of atoms. The light resonance can thus "remove" one kind of the atoms and provide for the desired majority of the other kind.

5.3 Interrogation of the Atoms

We are now almost in a position to assemble our atomic frequency standard. We still need some means to observe the atomic resonance. A microwave cavity is typically used. Such a cavity has microwave resonances which are determined by its physical size. The (electrical) losses of this cavity are given by the electrical conductivity of the cavity material. Such a cavity may have the shape of a cylinder or that of a box. It resonates in a way quite similar to the working of an organ-pipe in the case of acoustic waves. The best known example of a microwave cavity is the microwave oven where food is cooked or fried by placing it into the resonance field of a cavity. In order to observe the atomic resonance we have to place the state selected atoms inside of this cavity

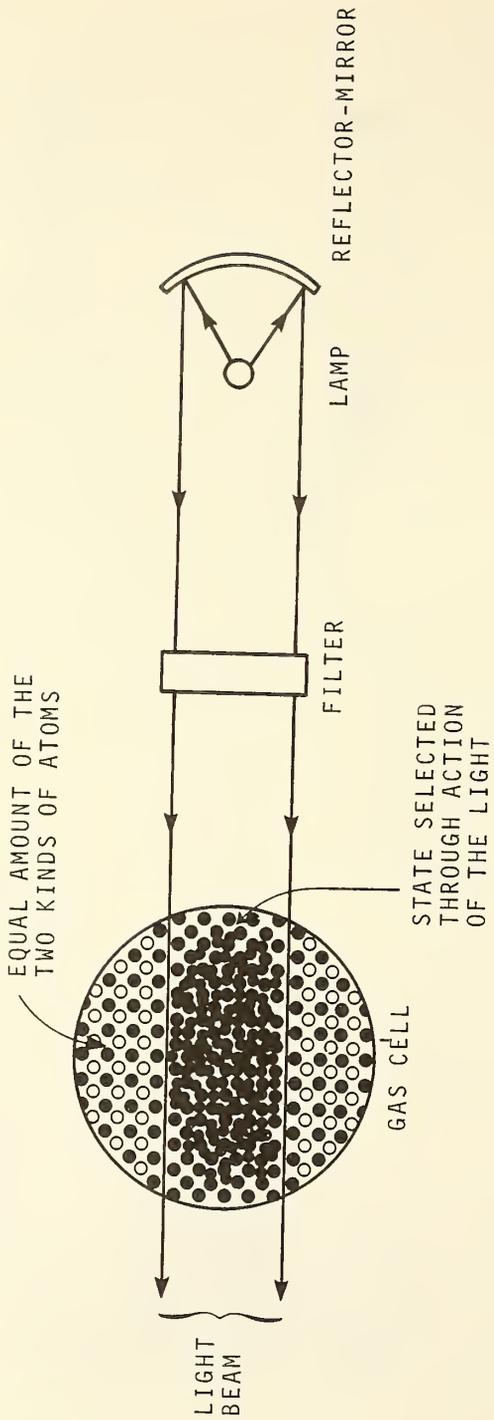


Fig. 15. Optical state selection.

and subject them for some specified time to a microwave signal at their resonance frequency. The microwave signal will change the relative number of atoms in the two states, e. g., if all atoms were initially in the upper state we find some in the lower state after the microwave signal acted upon them for some time (see footnote 8). If the frequency of the external microwave signal is exactly at the atomic resonance frequency, this transfer of atoms from one state to the other reaches a maximum. The center of the atomic resonance is thus found by monitoring the number of atoms in one of the two states and by varying the microwave frequency until the number of atoms in the lower state reaches a maximum, or the number of atoms in the upper state a minimum. A proportional electric signal can be derived which is fed back to the oscillator generating the microwave signal. Thus an automatic servo can be built which keeps the oscillator (usually a crystal oscillator) locked to the atomic resonance.

5.4 Signal Detection

The detection of the effect of the microwave signal on the atoms can be done in basically three ways, two of which relate to the two methods of state selection.

(a) Atom detection: The atoms which leave the cavity as an atomic beam are passed through the field of a magnet which spatially sorts the two states. An atom detector is placed to intercept one of these states. The output of the detector thus indicates the number of atoms in the upper (or lower) state.

(b) Optical detection: If the atoms are optically state selected, a detector for light (photo-detector) can be placed in a position such that the light which has interacted with the atoms is detected. Since the light removes atoms from one of the states, its intensity will change if atoms which resonate at the light frequency are added. Such an addition takes place

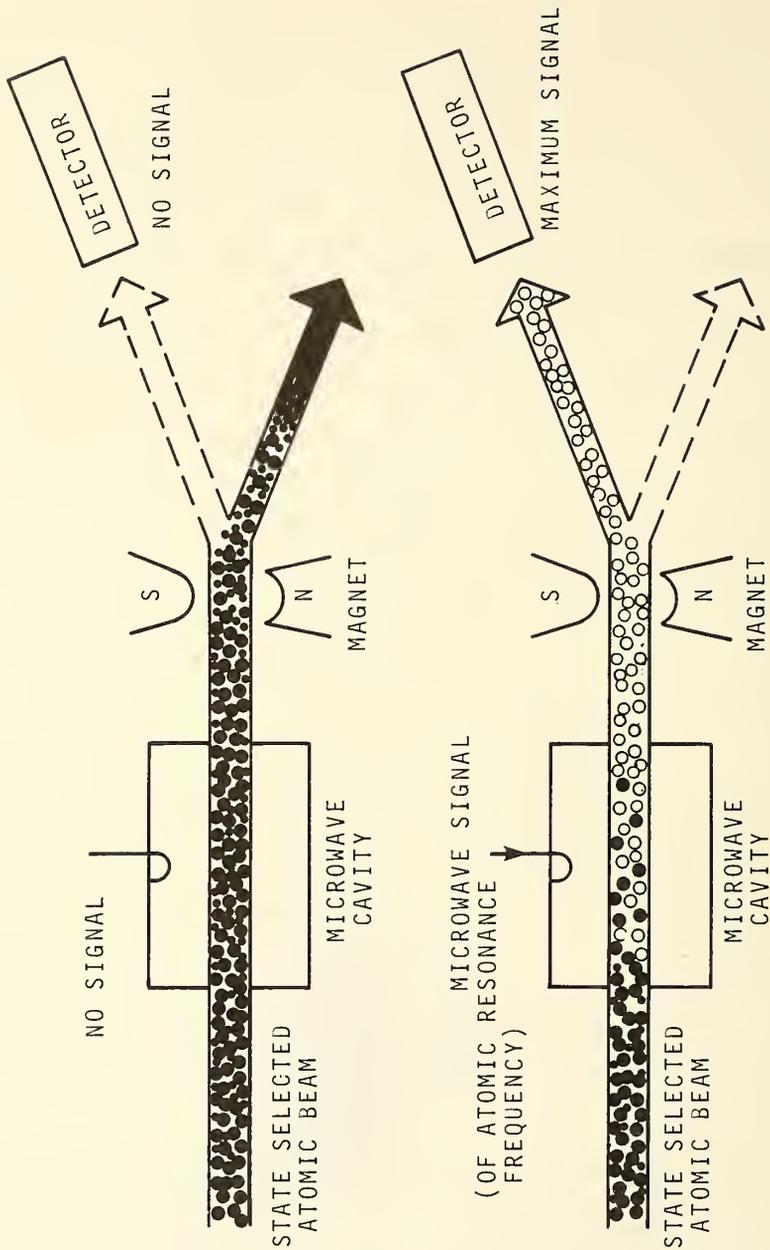


Fig. 16. Atom detection.

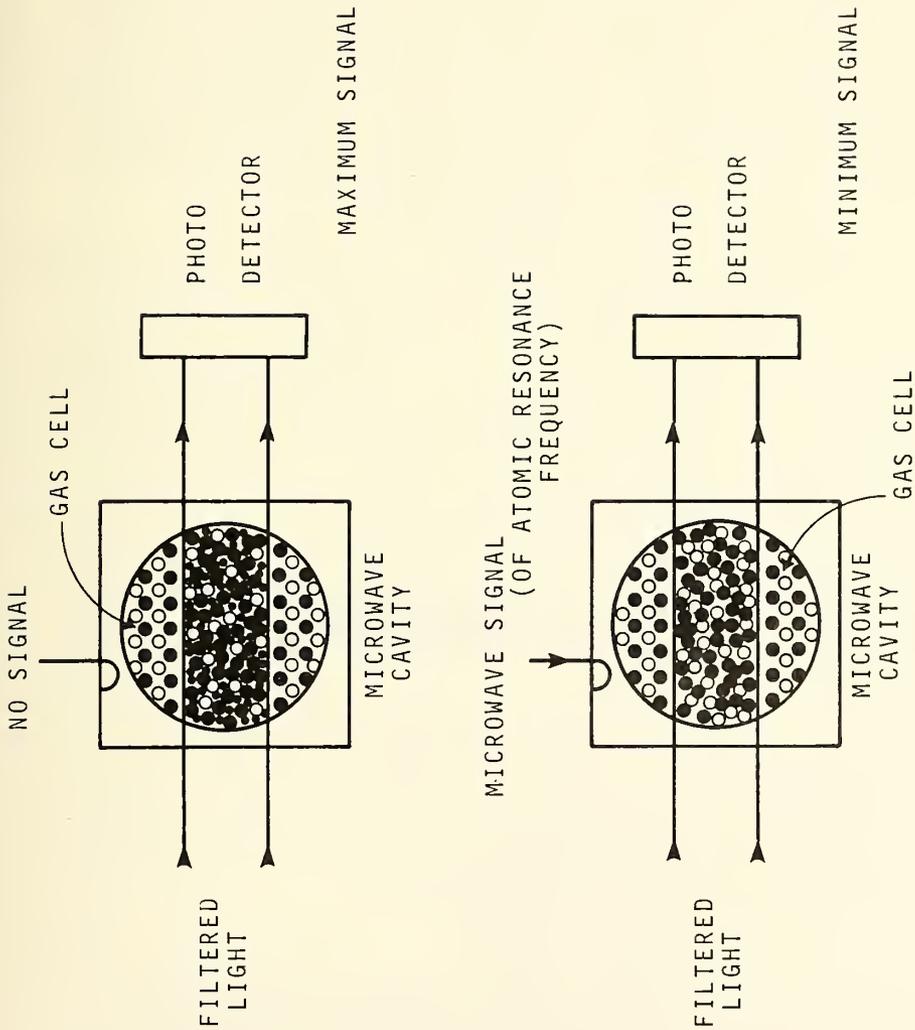


Fig. 17. Optical detection.

as a result of the microwave signal which, in effect, transfers atoms from one state (with no light interaction) to the other (taking part in the light resonance). The light at the photo-detector therefore is a measure of the number of atoms in one of the states.

(c) Microwave detection: In this type of detection, the microwave signal is transmitted through the cavity to a microwave detector. Since the atoms will either add energy to the signal (if initially in the upper state) or subtract energy (if initially in the lower state), the microwave power level at the detector is a measure of the number of atoms changing state.

5.5 Atomic Frequency Standard

The analogy to our mechanical frequency standard, the wrist watch, which we discussed in section 1, may now be drawn: the frequency determining element is the atomic resonator which consists of a cavity containing the state selected atoms, and some means of detecting the change in the number of atoms in the two states. A control signal, related to the number of atoms which changed their state due to the action of the microwave signal, is fed back to the (crystal) oscillator. The oscillator and the associated frequency multiplier or synthesizer⁹ correspond to the energy transferring means in our wrist watch (see sec. 1).

Some power supply has to provide for the energy necessary to drive the oscillator, multiplier, and possibly the atom state selector. Very high Q-values can be achieved with atomic resonators. To understand this, we have to recall our eqs (3) and (4).

⁹As we discussed before, good crystal oscillators are available at frequencies of several MHz; the atomic resonances are at GHz frequencies. The crystal oscillator frequency therefore has to be multiplied by a factor of about 1000.

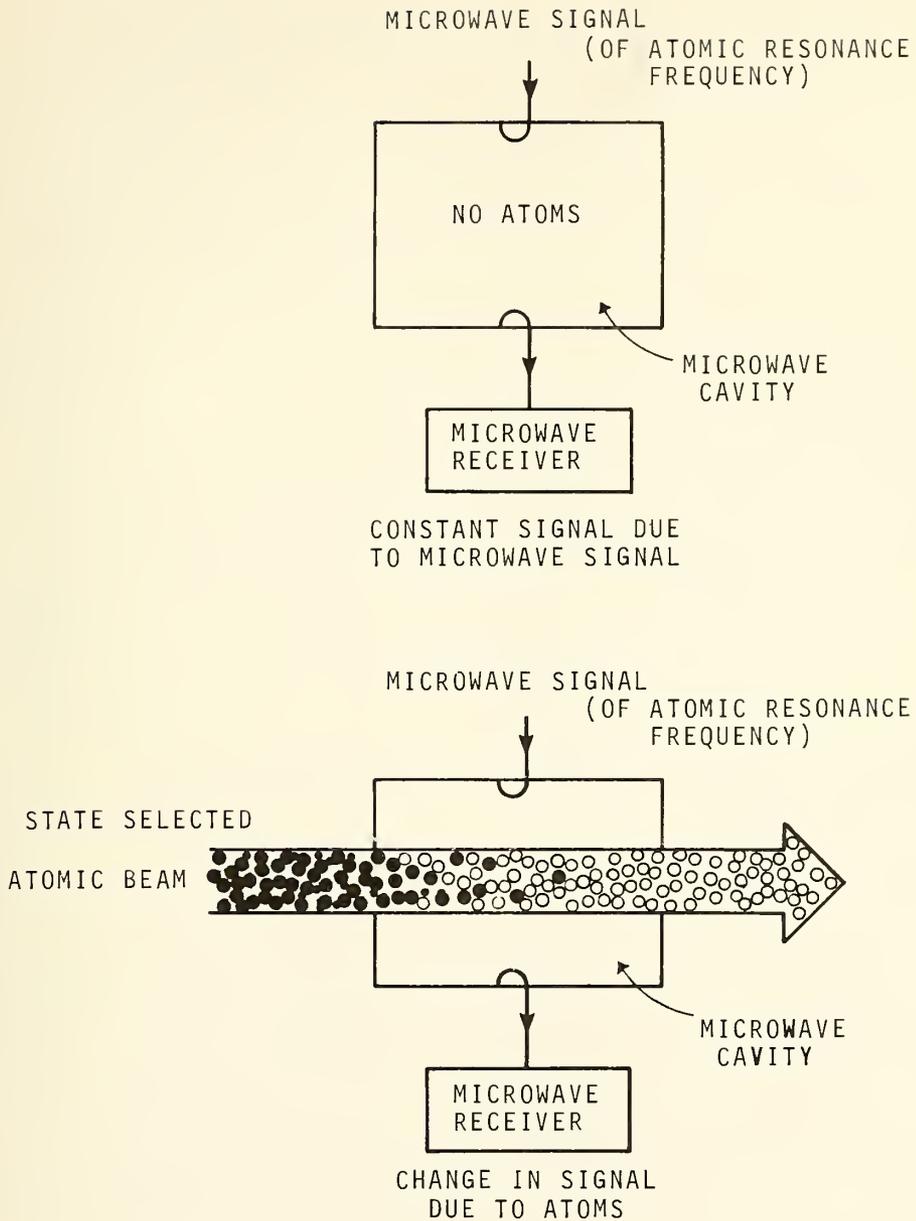


Fig. 18. Microwave detection.

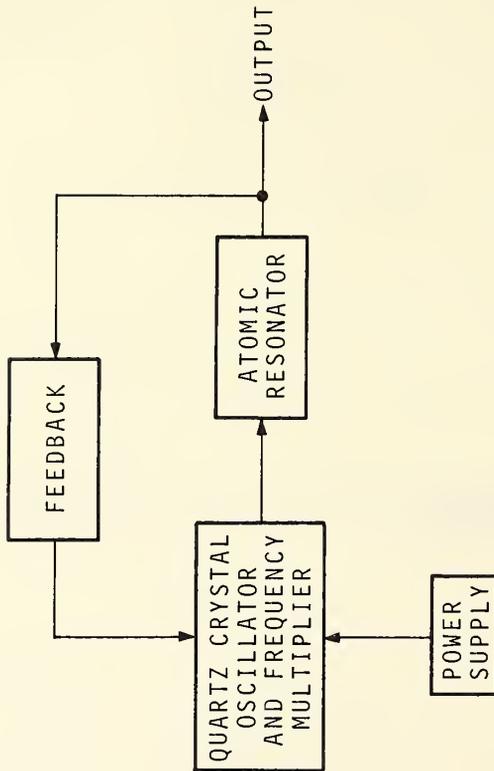


Fig. 19. Atomic frequency standard.

The Q is related to the time T , which describes the average duration of oscillations of the resonator. In atomic resonators we find two chief causes for the termination of oscillations. The first one is collisions of the resonating atoms with each other and with surrounding walls; each collision usually terminates the oscillation. The second one is a rather obvious cause: the atoms may simply leave the region of microwave signal interaction. In an atomic beam apparatus, the atoms enter the cavity, traverse the cavity in a certain time, and then leave the cavity. As an example, let us assume that we have a cavity of 1 meter length which is traversed by atoms with an average speed of 100 meters per second (a typical value). We assume an atomic resonance frequency of 10 GHz. The interaction time T is then $\frac{1}{100}$ second, the linewidth 100 Hz (from eq (3)), and the Q -value is 10^8 (from eq (4)). We arrived at a Q -value which is considerably better than that of a quartz crystal oscillator!

5.6 Effects on the Atomic Resonator Frequency

Before we discuss effects which might cause changes in the output frequency of an atomic standard, we should make a very important statement: The atomic resonance frequency itself is given to us by nature, it will not drift or age. Hence, atomic resonators with Q -values of 10^8 or higher may be expected to have accuracies of a part in 10^{-8} or better because we will not be able to pull the resonance frequency further away than the linewidth of the resonance.

In the following, we are not exhaustive but list only the major perturbing effects:

- (a) Noise: Random noise in the crystal oscillator, the detector, the microwave cavity, and the frequency synthesizer will cause corresponding fluctuations of the output frequency.
- (b) Cavity pulling: The microwave cavity is itself a resonator. Thus we have an additional frequency-determining element besides the atoms. It influences the output frequency by pulling the combined resonance frequency to a value which usually lies between the resonances of the atom and cavity. This necessitates the tuning of the cavity to the atomic resonance. The requirements for tuning will be relaxed if the Q-value of the atomic resonance is as high as possible and the cavity-Q as low as possible.
- (c) Microwave spectrum: If the exciting microwave signal has not a symmetric but an asymmetric distribution of frequencies¹⁰, a frequency pulling occurs which conceptually is related to the mechanism of cavity pulling. By careful design in the electronics, this effect can be made negligible.
- (d) Collisions: Collisions between the atoms, and between the atoms and the walls of a vessel (gas cell) in which the atoms may be contained, will not only shorten the duration of the oscillation but also cause a frequency shift. Obviously, these effects can be minimized by having low atom densities and no walls, if possible.
- (e) Doppler effect: The apparent change in frequency if the emitter moves relative to an observer is called Doppler effect. Everybody knows this for acoustic waves from the experience of an approaching, whistling locomotive or from automobile racing. Here, the moving objects are the oscillating atoms and the observer is the microwave

¹⁰The microwave signal will never be just one single frequency but will have a certain distribution of frequencies (spectrum).

cavity. The Doppler effect can be highly reduced by choosing a particular direction of the atomic beam with respect to the direction of the oscillating magnetic microwave field in the cavity; in our acoustic example, this corresponds to the passing of the whistle at some large distance instead of having the whistle move directly towards the observer. An alternate way of reducing the Doppler effect is the containment of atoms in a region small compared to the wavelength of the microwave radiation, e.g., by placing a gas cell inside of the cavity.

- (f) Magnetic field: Of the effects which we discuss here, this is the only one which directly affects the atomic resonance. We remember that we used a small magnetic dipole antenna as the model for the atomic resonance. If this antenna is placed in an external static magnetic field (as that of a permanent magnet) the tuning of the antenna changes, i.e., the atomic resonance frequency changes. This necessitates magnetic shielding, which is a characteristic design feature of all presently used atomic frequency standards. The shielding is usually quite elaborate and reduces the external magnetic fields, e.g., the earth's magnetic field, to 1% or less of its external value. This residual magnetic field can then be measured quite precisely by using the atomic resonance itself. In fact, the associated measurement precision is so good that magnetic field effects do not seriously impair the accuracy of atomic frequency standards. However, fluctuations of the external magnetic field, or the movement of the device through a varying field, e.g., as in a relocation, may perturb the frequency of the atomic standard.

Generally speaking, one tries to minimize all of the effects listed above, and to keep their influence as stable with time as possible. Such an approach suffices for most applications¹¹. For laboratory standards, however, where frequency accuracy is the primary purpose, all effects must be evaluated in a series of experiments, and reevaluations must be done occasionally in order to detect changes with time.

6. AVAILABLE ATOMIC FREQUENCY STANDARDS

We will now discuss the design and performance of the three types of atomic frequency standards which are currently in operational use.

6.1 Cesium Beam Frequency Standard

The atomic resonance is at 9192 631 770 Hz. The standard is based on the atomic beam method using spatial state selection and atom detection. An oven contains the cesium metal. If heated to about 100°C, enough cesium gas will be produced to form an atomic beam which leaves the oven through one or many channels into a vacuum chamber. This chamber is evacuated to a pressure of less than 10^{-10} of atmospheric pressure. The beam traverses first the state selecting magnet, then the microwave cavity¹² where an external microwave signal acts on the beam, and finally reaches the atom detector after passing another state selecting magnet. The atom detector is simply a wire (typically

¹¹The magnetic effect is an exception. The residual magnetic field inside of the shields must be evaluated and possibly reset any time after changes in the external field occur, e.g., after moving the device. The magnetic shields may also have to be demagnetized.

¹²Typically, a cavity with separated interrogation regions is used. This design offers certain advantages over a simple cavity of a length equivalent to the separation of the two regions.

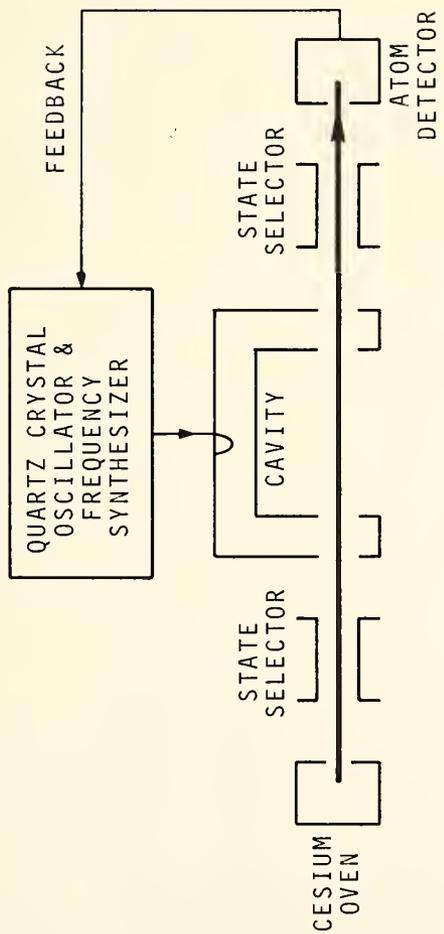


Fig. 20. Cesium beam frequency standard.

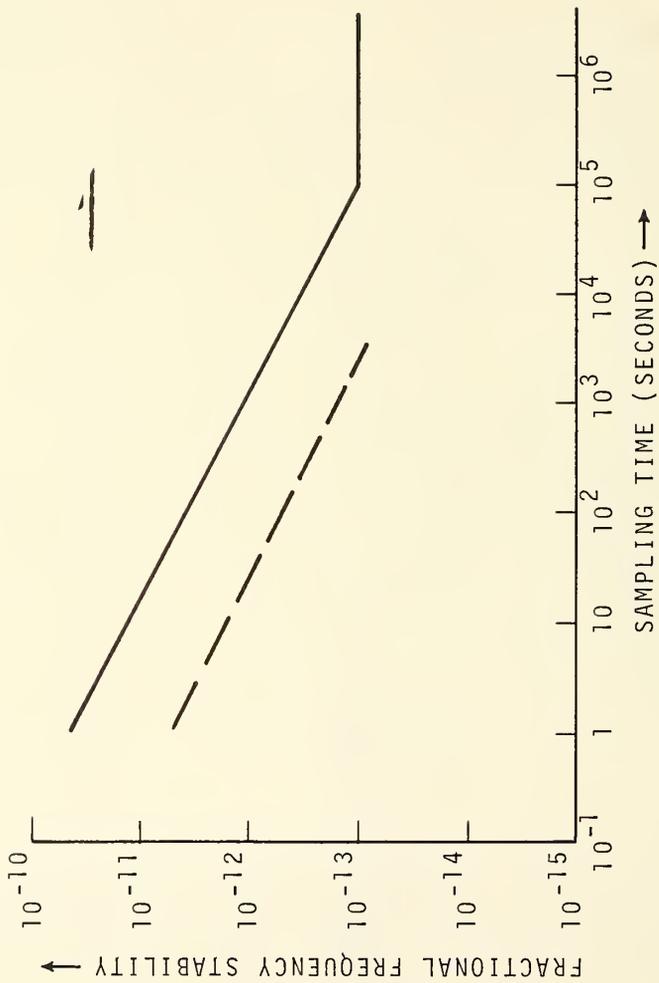


Fig. 21. Frequency stability of commercial cesium beam frequency standards.

made from tungsten) which is heated to about 900°C by passing an electric current through it. Cesium atoms which impinge on this wire (which is biased with a few volts dc) become electrically charged (ionized) and can be collected on an auxiliary electrode. The stream of electrically charged atoms at this electrode represents an electric current which is amplified, detected, and fed into the feedback network.

The speed of the atoms and the length of the cavity determine the Q-value of the atomic resonator. Typical atom speeds are 100 meters per second. In commercial devices which have to be reasonably small, the cavity is about 0.4 meter long; the corresponding interaction time T is four thousandths of a second. From eqs (3) and (4), we calculate a linewidth of a few hundred hertz and a Q-value of a few 10^7 . In laboratory devices one can go to very long cavities. Cavities of up to 4 meters long are used, leading to Q-values of a few 10^8 . The fractional frequency stability of both commercial and laboratory devices reach 10^{-13} at sampling times of hours to days. The short-term frequency stability is limited by fluctuations in the atomic beam intensity which are basic and unavoidable ("shot noise"). These fluctuations affect the frequency stability less if more intense atomic beams are used. Such devices, which are becoming available, improve the stability at sampling times of 1 second from about 5 parts in 10^{11} to about 6 parts in 10^{12} . In contrast to commercial devices, the laboratory standards are designed to allow a complete evaluation of all effects on the frequency. Cesium standards are used extensively where its high reproducibility and unexcelled long-term stability (sampling times of more than a day) are needed. For most applications, cesium standards need not be calibrated. They are the work horses in most of today's standard frequency and time services. The laboratory standard serves to realize the definition of the second (see sec. 1).

6.2 Rubidium Gas Cell Frequency Standard

The atomic resonance is at 6834 682 608 Hz. The standard is based on the gas cell method using optical state selection and optical detection. The gas cell contains rubidium gas at a pressure of only about 10^{-9} of atmospheric pressure. In order to reduce the effect of collisions among the rubidium atoms, an inert buffer gas (e.g., argon) is introduced into the cell at a pressure of 1/1000 of atmospheric pressure. This allows lifetimes of the rubidium atom oscillations (the oscillation lifetime T is still limited by atom collisions) of about 1/100 second. From eqs (3) and (4) we calculate a corresponding linewidth of about 100 Hz and a Q-value of several 10^7 . Atomic collisions as well as the light and the microwave signal acting simultaneously on the same atoms cause frequency shifts of the order of 10^{-9} . These frequency shifts depend strongly on the composition, temperature, and pressure of the buffer gas and on the intensity of the light. As a result, rubidium gas cells vary in their resonance frequency by as much as 10^{-9} depending on the particular setting of the frequency shifting parameters during manufacturing. Since these influences cannot be expected to stay unchanged as time goes on, rubidium standards need not only initial calibration but also recalibrations because they exhibit a frequency drift, i.e., an aging analogous to crystal oscillators. The stability performance of rubidium standards is nevertheless quite spectacular. At one second sampling time they display a stability of better than 10^{-11} and perform near the 10^{-13} level for sampling times of up to a day. For longer times the frequency stability is spoiled by the frequency drift which is typically 1×10^{-11} per month (much less than the drift of crystal

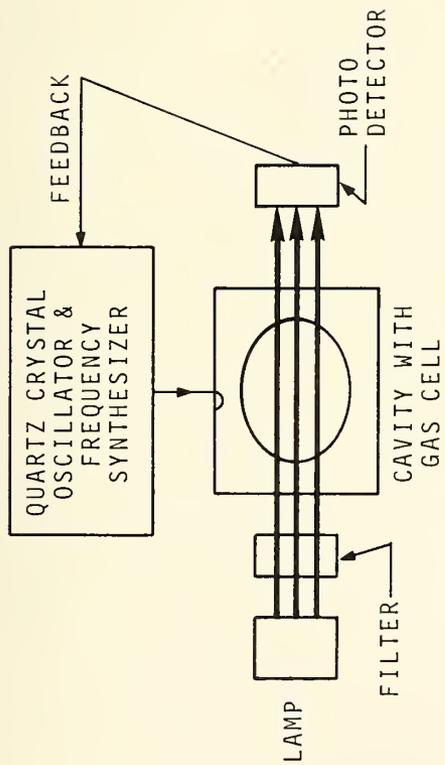


Fig. 22. Rubidium gas cell frequency standard.

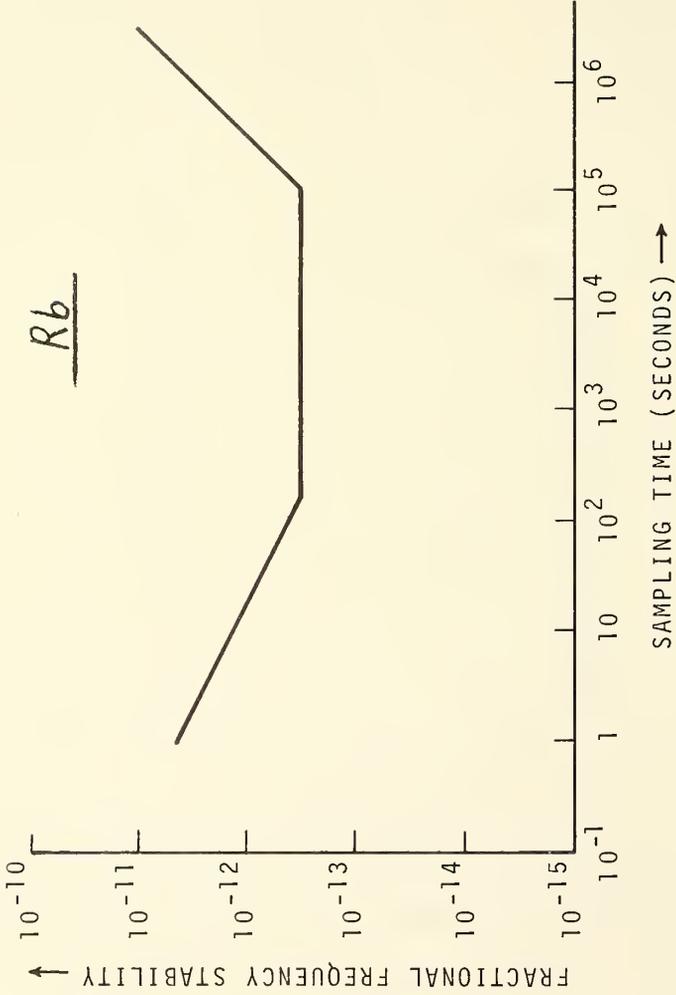


Fig. 23. Frequency Stability of commercial rubidium gas cell frequency standards.

oscillators). Rubidium standards are used where excellent medium-term stability (minutes to a day) is needed, where its reduced costs, size, and weight, as compared to cesium standards, are important, and where a crystal oscillator with its more frequent needs for recalibrations and its greater environmental sensitivity does not suffice.

6.3 Atomic Hydrogen Maser¹³

The atomic resonance frequency is at 1420 405 752 Hz. The standard is based on the atomic beam method using spatial state selection and microwave detection. The beam source is a radio frequency gas discharge in molecular hydrogen¹⁴ which produces atomic hydrogen with high efficiency. The atomic hydrogen beam leaves the source through one or many channels into a vacuum chamber. The beam then traverses a state selecting magnet and enters a storage bulb in the microwave cavity. The storage bulb is made from quartz glass which has low electric losses and thus does not spoil significantly the cavity-Q. The storage bulb is evacuated to a pressure of less than 10^{-11} of atmospheric pressure. Its inner walls are lined with a fluorocarbon coating, a substance similar to the non-stick coating in cookware. This coating allows many collisions of the hydrogen atoms with the walls without significantly disturbing the oscillations of the atoms. The underlying physical mechanisms are not yet fully understood. The storage bulb is typically 0.15 meters in diameter and dimensioned in such a way as to keep hydrogen atoms for a time of about one second.

¹³ Maser is an acronym meaning microwave amplification by stimulated emission of radiation.

¹⁴ All natural hydrogen gas is composed of hydrogen molecules; each hydrogen molecule is formed by chemical bonding of two hydrogen atoms.

Then they leave the bulb and thus leave also the microwave cavity. From eqs (3) and (4) we calculate a linewidth of about 1 Hz and a Q-value of about 10^9 , the highest Q-value of all presently existing atomic resonators. If the intensity of the hydrogen beam, which consists only of upper state atoms (emitting atoms), is sufficiently large and if the cavity losses are sufficiently low, self-oscillation will start in the cavity; i. e., the maser itself will generate a microwave signal. We have a maser-oscillator with an output frequency directly derived from the atomic resonance. A crystal oscillator can be locked to this frequency by frequency comparison techniques. As compared to the cesium standard, the hydrogen maser is not quite as accurate because of experimental difficulties in the evaluation of the frequency shift due to the collisions of the hydrogen atoms with the fluorocarbon surface of the storage bulb. In order to obtain self-oscillation the cavity-Q must be relatively high. Thus cavity pulling is relatively strong, and it limits the long-term stability (over times longer than several days) to values not quite as good as those possible with cesium standards. In the region from a few seconds to a day the hydrogen maser has the best stability of all existing standards. Its application is rather limited to uses where these stabilities are critical and where a rather bulky device is no handicap. Unlike cesium and rubidium standards, hydrogen masers have not yet been evaluated under adverse environmental conditions. The number of hydrogen masers in use is very small compared to the numbers of cesium beam devices and of rubidium devices.

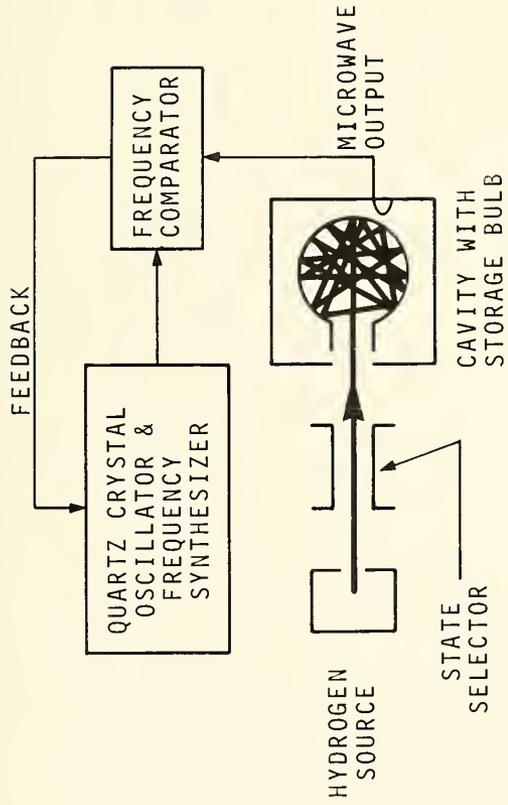


Fig. 24. Hydrogen maser oscillator.

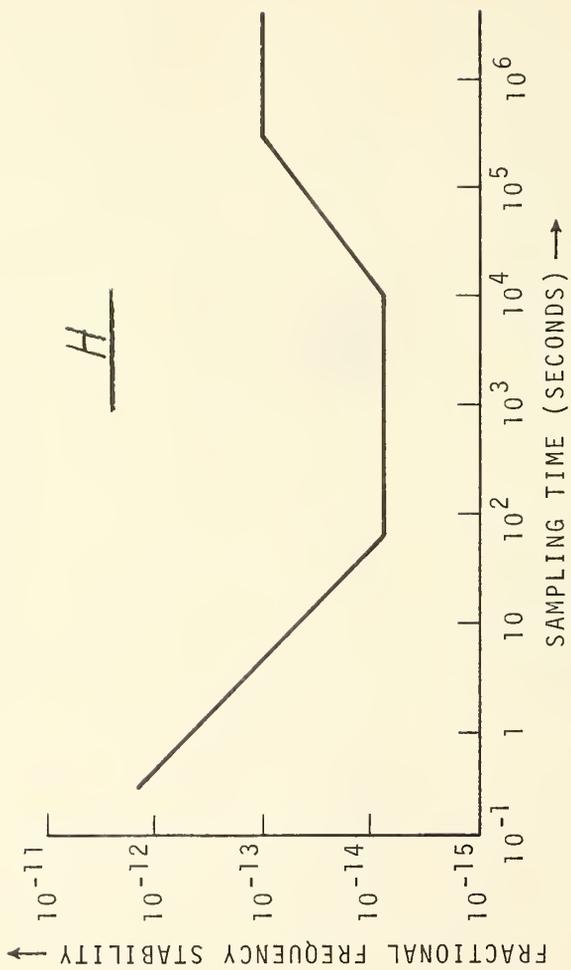


Fig. 25. Frequency stability of a hydrogen maser oscillator with automatic cavity tuning.

7. SUMMARY AND COMPARISON OF AVAILABLE FREQUENCY STANDARDS

Table 1 gives a summary of the performance characteristics of the frequency standards discussed in sections 4 and 6. Individual units may give better (or worse!) performance than indicated by the numbers in the table. The table may be used to compare the four types of devices.

Table 1. Summary and Comparison of Available Frequency Standards

Device	Resonance Frequency	Q
Crystal Oscillator	TCXO Oven controlled	10^5 to 10^6
	Laboratory type (oven controlled)*	a few 10^6
Cesium Beam	Small commercial	10^7
	Typical commercial**	a few 10^7
	Laboratory type***	a few 10^8
Rubidium Gas Cell	6834 682 608 Hz	a few 10^7
Hydrogen Maser Oscillator	1420 405 752 Hz	10^9

* Values for selected units in parentheses.

** Values for the most recently developed devices in parentheses.

*** Projected data for devices under construction.

Table 1 (contd.)

Principal causes for long-term instability	Fractional frequency stability*			Time between resynchronization for timekeeping of**	
	one second	one hour	one day		
Aging of crystal resonator and electronic components; environmental effects	Cannot be specifically stated (typically ranging from 10^{-6} to 10^{-9})			---	
	1×10^{-11}	1×10^{-10}	5×10^{-10}	one hour	
Aging of components, environmental effects; some units continue to improve in stability without apparent limitation	(2×10^{-13})	(2×10^{-13})	(5×10^{-13})	several hours	
	1×10^{-12}	1×10^{-12}	1×10^{-11}	one month	
	4×10^{-11}	8×10^{-13}	not yet evaluated	several days	
	5×10^{-11}	7×10^{-13}	one	one year	
Aging of light source, filter, and gas cell, environmental effects	(6×10^{-12})	(1×10^{-13})	1×10^{-13}	month	
	1×10^{-11}	not yet	not yet	device not used for timekeeping	
	(2×10^{-13})	2×10^{-13}	3×10^{-14}	evaluated	
	5×10^{-12}	3×10^{-13}	3×10^{-13}	3×10^{-12}	few days
Cavity pulling	5×10^{-13}	1×10^{-14}	5×10^{-14}	one month	
environmental effects			1×10^{-13}	many years	

* The data can also be regarded as the time required between recalibrations to achieve the (calibrated) time accuracy which corresponds to the stated stability figure (assuming no frequency offset).

** Based on the assumption that data and equipment are properly utilized.

Table 1 (contd.)

Fractional Frequency Drift (Aging)	Fractional Frequency Reproducibility	Fractional Frequency Accuracy	Principal Environmental Effects
10^{-9} per day	As manufactured worse than 10^{-6}		Accelerations (g-forces, shock, vibration), temperature changes, nuclear radiation, changes in crystal drive level.
5×10^{-10} per day			
1×10^{-11} per day (5×10^{-13})			
None detectable with resolution of 1×10^{-12} per year. (In some units drift of as much as 1×10^{-12} per year may occur.)	1×10^{-11}	2×10^{-11}	Strong magnetic fields*, strong accelerations, large temperature changes
	2×10^{-12}	5×10^{-12}	
	5×10^{-13} (1×10^{-13})	5×10^{-13} (1×10^{-13})	
1×10^{-11} per month	1×10^{-10}	5×10^{-10}	Strong magnetic fields*, temperature changes, atmospheric pressure
None detectable with resolution of 1×10^{-16} per year	5×10^{-13}	2×10^{-12}	Strong magnetic fields*, temperature changes

* As compared to earth magnetic field.

Table 1 (contd.)

Behavior under intermittent operation	Reliability	Size* vol. in cm ³	Weight* in kg	Power demand* in watts	Estimated manufacturing costs in k\$*(for frequency standard)
Frequency may have systematic warm-up requires offset after min. to hours operation is resumed	Not limited by crystal resonator	> 10	> 0.1	> 0.1	Several 0.1
		10, 000	5-10	Several watts	0.5
				15	2
Warm-up in minutes, no frequency offsets will occur	Life of the cesium resonator (beam tube) is at least 3 yrs. (continuous operation) Lifetime of the cesium resonator is about 1 yr. (continuous operation)	10, 000	16	30	12
		30, 000	30	40	15
		700, 000	1000	100	200
Warm-up in minutes, no frequency offsets will occur	Life of the rubidium resonator (optical pkg.) is at least 3 yrs. (cont. operation)	20, 000	10-20	35	7.5
Warm-up in hours, no frequency offsets will occur	Insufficient no. of units. Some have operated continuously for much longer than 1 yr.	300, 000	200	40	60

* These figures are rounded to one significant digit.

8. TRENDS

All devices which were discussed in this report have improved over the past years in one or more of the following aspects: reliability, size, weight, stability performance, and environmental insensitivity. A considerable amount of effort is still being expended to go further in this direction; however, it would be inappropriate here to try to estimate the improvement of performance specifications which might (or might not) be achieved in the future.

There are several other devices, designs, and concepts which have been studied or are being investigated with some potential for future use in frequency standards. Most of these are summarized in Table 2.

Table 2. Additional Promising Items Under Investigation

Resonator	Resonance Frequency	Q (incl. projected values)	Comments
Ammonia absorption	Around 22 GHz	10^5 to 10^6	Sensitive to external electric fields; insensitive to external magnetic fields
Ammonia maser oscillator	Around 22 GHz	A few 10^7	First atomic clock (1948), relatively low Q First maser oscillator (1955), problems with relatively strong Doppler effect and molecular collisions
Thallium atomic beam	21 GHz	10^7 to 10^8	Insensitive to external magnetic fields, detection problems
Barium oxide molecular beam	19 GHz	10^7 to 10^8	Sensitive to external electric fields. Insensitive to external magnetic fields, detection problems.
Hydrogen atomic beam	1.4 GHz	10^6 to 10^9	Storage principle and microwave detection (no self oscillations), or storage principle and atom detection, or straight beam (as in cesium) and atom detection. Detection problems.
Ion storage	In the GHz region depending on chosen ion	10^8 to 10^{10}	Storage in electric and magnetic fields (no walls!). Problems with storing a sufficient number of ions. Helium and mercury ions have been used.
Saturated molecular absorption	In the THz region* depending on chosen molecule	10^9 to 10^{11}	Exciting oscillator is a laser. Small and simple design. Problems in usage because of very high frequency (infrared and visible radiation). Methane and iodine are two of the several molecules being used.
Rubidium maser oscillator	6.8 GHz	A few 10^7	Similar to rubidium gas cell with similar limitations, but self-oscillations with microwave detection.
Superconducting microwave cavity	In the GHz region	10^{10} to 10^{12}	Technology problems. Very low accuracy but good stability to be expected.

* THz = one trillion hertz = 10^{12} Hz.

9. LITERATURE

The following references are a limited selection. They are intended as a guide for those who wish to penetrate the subject in greater depth.

A. Introduction

- (1) J. Kovalevsky, "Astronomical Time", *Metrologia* 1 (1965) 169.
- (2) H. M. Smith, "International Time and Frequency Coordination", *Proc. IEEE* 60 (1972) (to be published).
- (3) The American Ephemeris and Nautical Almanac, U. S. Government Printing Office, Washington, D. C.
- (4) P. P. Viezbicke, "NBS Frequency and Time Broadcast Services", NBS Special Publication 236 (1971).

B. Basic Concepts

- (1) D. W. Allan, "Statistics of Atomic Frequency Standards," *Proc. IEEE* 54 (1966) 221.
- (2) J. A. Barnes et al, "Characterization of Frequency Stability," *IEEE Trans. on Instr. and Meas.* IM-20 (1971) 105.
- (3) L. S. Cutler and C. L. Searles, "Some Aspects of the Theory and Measurement of Frequency Fluctuations in Frequency Standards," *Proc. IEEE* 54 (1966) 136.

C. Frequency Standards, General Aspects

- (1) Most general physics textbooks.
- (2) A. E. Siegmann, "An Introduction to Lasers and Masers", Chapter 2, McGraw-Hill 1971.

D. Quartz Crystal Devices

- (1) E. A. Gerber and R. A. Sykes, "State of the Art - Quartz Crystal Units and Oscillators," *Proc. IEEE* 54 (1966) 103.
- (2) E. A. Gerber and R. A. Sykes, "A Quarter Century of Progress in the Theory and Development of Crystals for Frequency Control and Selection," *Proc. 25th Annual Symp. on Frequency Control*, Ft. Monmouth, N. J. (1971) 1 (available from Electronic Industries Assoc., Washington, D. C.).
- (3) R. A. Heising, "Quartz Crystals for Electrical Circuits," Van Nostrand, 1946.

E. Atomic Resonance Devices

- (1) N. F. Ramsey, "History of Atomic and Molecular Control of Frequency and Time," Proc. 25th Annual Symp. on Frequency Control, Ft. Monmouth, N. J. (1971) 46 (available from Electronic Industries Assoc., Washington, D. C.).
- (2) N. F. Ramsey, "Molecular Beams," Oxford, 1956.
- (3) A. E. Siegmann, "An Introduction to Lasers and Masers", McGraw-Hill, 1971.

F. Available Atomic Frequency Standards

- (1) A. O. McCoubrey, "A Survey of Atomic Frequency Standards," Proc. IEEE 54 (1966) 116.
- (2) D. Kleppner, H. C. Berg, S. B. Crampton, N. F. Ramsey, R. F. C. Vessot, H. E. Peters, and J. Vanier, "Hydrogen-Maser Principles and Techniques," Phys. Rev. 138 (1965) A972.
- (3) R. E. Beehler, R. C. Mockler, and J. M. Richardson, "Cesium Beam Atomic Time and Frequency Standards," Metrologia 1 (1965) 114.
- (4) P. Davidovits and R. Norvick, "The Optically Pumped Rubidium Maser," Proc. IEEE 54 (1966) 155.

G. Trends

- (1) H. Hellwig, "Areas of Promise for the Development of Primary Frequency Standards," Metrologia 6 (1970) 118.
- (2) H. S. Boyne, "Laser Frequency Stabilization Techniques and Applications," IEEE Trans. on Instr. and Meas. IM-20(1971) 19.

ACKNOWLEDGMENTS

The author wishes to thank Byron E. Blair for his assistance in setting up the basic outline of this paper, and Donald Halford and David W. Allan for their assistance in the preparation of this paper.

U.S. DEPT. OF COMM. BIBLIOGRAPHIC DATA SHEET	1. PUBLICATION OR REPORT NO. NBS Technical Note 616	2. Gov't Accession No.	3. Recipient's Accession No.
4. TITLE AND SUBTITLE Frequency Standards and Clocks: A Tutorial Introduction		5. Publication Date	
		6. Performing Organization Code	
7. AUTHOR(S) Helmut Hellwig		8. Performing Organization	
9. PERFORMING ORGANIZATION NAME AND ADDRESS NATIONAL BUREAU OF STANDARDS, Boulder Labs. DEPARTMENT OF COMMERCE Boulder, Colorado 80302		10. Project/Task/Work Unit No. 2730418	
		11. Contract/Grant No. E111M-6	
12. Sponsoring Organization Name and Address U. S. Air Force Richards Gebaur Air Force Base Missouri 64030		13. Type of Report & Period Covered	
		14. Sponsoring Agency Code	
15. SUPPLEMENTARY NOTES			
<p>16. ABSTRACT (A 200-word or less factual summary of most significant information. If document includes a significant bibliography or literature survey, mention it here.)</p> <p>The topic of frequency standards and clocks is treated in a tutorial and non-mathematical way. The concepts of time, frequency, frequency stability, and accuracy are introduced. The general physical principles and design features of frequency standards and clocks are described. The design, performance, and limitations of quartz crystal oscillators and atomic devices (cesium, hydrogen, rubidium) are discussed in detail and critically compared for laboratory devices as well as for devices intended for field usage.</p>			
17. KEY WORDS (Alphabetical order, separated by semicolons) Cesium beam; Clocks (atomic); Crystal oscillator; Frequency accuracy; Frequency stability; Frequency standards; Hydrogen maser; Quartz crystal; Rubidium gas cell; Timekeeping			
18. AVAILABILITY STATEMENT <input checked="" type="checkbox"/> UNLIMITED. <input type="checkbox"/> FOR OFFICIAL DISTRIBUTION. DO NOT RELEASE TO NTIS.		19. SECURITY CLASS (THIS REPORT) UNCLASSIFIED	21. NO. OF PAGES 69
		20. SECURITY CLASS (THIS PAGE) UNCLASSIFIED	22. Price \$.65

NBS TECHNICAL PUBLICATIONS

PERIODICALS

JOURNAL OF RESEARCH reports National Bureau of Standards research and development in physics, mathematics, chemistry, and engineering. Comprehensive scientific papers give complete details of the work, including laboratory data, experimental procedures, and theoretical and mathematical analyses. Illustrated with photographs, drawings, and charts.

Published in three sections, available separately:

● Physics and Chemistry

Papers of interest primarily to scientists working in these fields. This section covers a broad range of physical and chemical research, with major emphasis on standards of physical measurement, fundamental constants, and properties of matter. Issued six times a year. Annual subscription: Domestic, \$9.50; foreign, \$11.75*.

● Mathematical Sciences

Studies and compilations designed mainly for the mathematician and theoretical physicist. Topics in mathematical statistics, theory of experiment design, numerical analysis, theoretical physics and chemistry, logical design and programming of computers and computer systems. Short numerical tables. Issued quarterly. Annual subscription: Domestic, \$5.00; foreign, \$6.25*.

● Engineering and Instrumentation

Reporting results of interest chiefly to the engineer and the applied scientist. This section includes many of the new developments in instrumentation resulting from the Bureau's work in physical measurement, data processing, and development of test methods. It will also cover some of the work in acoustics, applied mechanics, building research, and cryogenic engineering. Issued quarterly. Annual subscription: Domestic, \$5.00; foreign, \$6.25*.

TECHNICAL NEWS BULLETIN

The best single source of information concerning the Bureau's research, developmental, cooperative and publication activities, this monthly publication is designed for the industry-oriented individual whose daily work involves intimate contact with science and technology—for engineers, chemists, physicists, research managers, product-development managers, and company executives. Annual subscription: Domestic, \$3.00; foreign, \$4.00*.

* Difference in price is due to extra cost of foreign mailing.

Order NBS publications from:

Superintendent of Documents
Government Printing Office
Washington, D.C. 20402

NONPERIODICALS

Applied Mathematics Series. Mathematical tables, manuals, and studies.

Building Science Series. Research results, test methods, and performance criteria of building materials, components, systems, and structures.

Handbooks. Recommended codes of engineering and industrial practice (including safety codes) developed in cooperation with interested industries, professional organizations, and regulatory bodies.

Special Publications. Proceedings of NBS conferences, bibliographies, annual reports, wall charts, pamphlets, etc.

Monographs. Major contributions to the technical literature on various subjects related to the Bureau's scientific and technical activities.

National Standard Reference Data Series. NSRDS provides quantitative data on the physical and chemical properties of materials, compiled from the world's literature and critically evaluated.

Product Standards. Provide requirements for sizes, types, quality and methods for testing various industrial products. These standards are developed cooperatively with interested Government and industry groups and provide the basis for common understanding of product characteristics for both buyers and sellers. Their use is voluntary.

Technical Notes. This series consists of communications and reports (covering both other agency and NBS-sponsored work) of limited or transitory interest.

Federal Information Processing Standards Publications. This series is the official publication within the Federal Government for information on standards adopted and promulgated under the Public Law 89-306, and Bureau of the Budget Circular A-86 entitled, Standardization of Data Elements and Codes in Data Systems.

Consumer Information Series. Practical information, based on NBS research and experience, covering areas of interest to the consumer. Easily understandable language and illustrations provide useful background knowledge for shopping in today's technological marketplace.

NBS Special Publication 305, Supplement 1, Publications of the NBS, 1968-1969. When ordering, include Catalog No. C13.10:305. Price \$4.50; foreign, \$5.75.

U.S. DEPARTMENT OF COMMERCE
National Bureau of Standards
Washington, D.C. 20234

OFFICIAL BUSINESS

Penalty for Private Use, \$300

POSTAGE AND FEES PAID
U.S. DEPARTMENT OF COMMERCE

